

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification 6 :</b> <b>C07K 14/53, 14/555, 1/107, A61K 47/48</b>		<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 96/11953</b> <b>(43) International Publication Date:</b> <b>25 April 1996 (25.04.96)</b>
<b>(21) International Application Number:</b>	<b>PCT/US95/01729</b>		<b>(81) Designated States:</b> AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ).
<b>(22) International Filing Date:</b>	<b>8 February 1995 (08.02.95)</b>		
<b>(30) Priority Data:</b> 08/321,510	<b>12 October 1994 (12.10.94)</b>	<b>US</b>	
<b>(71) Applicant:</b> AMGEN INC. [US/US]; Amgen Center, 1840 Dehavilland Drive, Thousand Oaks, CA 91320-1789 (US).			<b>Published</b> <i>With international search report.</i>
<b>(72) Inventors:</b> KINSTLER, Olaf, B.; Unit A, 533 North Oaktree, Thousand Oaks, CA 91360 (US). GABRIEL, Nancy, E.; 3501 Bear Creek Court, Newbury Park, CA 91320 (US). FARRAR, Christine, E.; 667 Valley Oak Lane, Newbury Park, CA 91320 (US). DEPRINCE, Randolph, B.; 129 Hartland Court, Raleigh, NC 27614 (US).			
<b>(74) Agents:</b> ODRE, Steven, M. et al.; Amgen Inc., Amgen Center, 1840 Dehavilland Drive, Thousand Oaks, CA 91320-1789 (US).			

**(54) Title:** N-TERMINALLY CHEMICALLY MODIFIED PROTEIN COMPOSITIONS AND METHODS**(57) Abstract**

Provided herein are methods and compositions relating to the attachment of water soluble polymers to proteins. Provided are novel methods for N-terminally modifying proteins or analogs thereof, and resultant compositions, including novel N-terminally chemically modified G-CSF compositions and related methods of preparation. Also provided is chemically modified consensus interferon.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

-1-

N-TERMINALLY CHEMICALLY MODIFIED PROTEIN  
COMPOSITIONS AND METHODS

Field of the Invention

5        The present invention broadly relates to the field of protein modification, and, more specifically, the attachment of water soluble polymers to proteins or analogs thereof (the term "protein" as used herein is synonymous with "polypeptide" or "peptide" unless otherwise indicated). The present invention also 10      relates to novel methods for N-terminally modifying proteins or analogs thereof, and resultant compositions. In another aspect, the present invention relates to novel N-terminally chemically modified G-CSF 15      compositions and related methods of preparation. The present invention also relates to chemically modified consensus interferon.

Background

20       Proteins for therapeutic use are currently available in suitable forms in adequate quantities largely as a result of the advances in recombinant DNA technologies. The availability of recombinant proteins has engendered advances in protein formulation and 25      chemical modification. One goal of such modification is protein protection. Chemical attachment may effectively block a proteolytic enzyme from physical contact with the protein backbone itself, and thus prevent degradation. Additional advantages include, under 30      certain circumstances, increasing the stability and circulation time of the therapeutic protein and decreasing immunogenicity. A review article describing protein modification and fusion proteins is Francis, *Focus on Growth Factors 3: 4-10* (May 1992) (published by 35      Mediscript, Mountview Court, Friern Barnet Lane, London N20, OLD, UK).

- 2 -

Polyethylene glycol ("PEG") is one such chemical moiety which has been used in the preparation of therapeutic protein products (the verb "pegylate" meaning to attach at least one PEG molecule). For 5 example Adagen, a pegylated formulation of adenosine deaminase is approved for treating severe combined immunodeficiency disease; pegylated superoxide dismutase has been in clinical trials for treating head injury; pegylated alpha interferon has been tested in phase I 10 clinical trials for treating hepatitis; pegylated glucocerebrosidase and pegylated hemoglobin are reported to have been in preclinical testing. The attachment of polyethylene glycol has been shown to protect against proteolysis, Sada, et al., *J. Fermentation 15 Bioengineering* 71: 137-139 (1991), and methods for attachment of certain polyethylene glycol moieties are available. See U.S. Patent No. 4,179,337, Davis et al., "Non-Immunogenic Polypeptides," issued December 18, 1979; and U.S. Patent No. 4,002,531, Royer, "Modifying 20 enzymes with Polyethylene Glycol and Product Produced Thereby," issued January 11, 1977. For a review, see Abuchowski et al., *in Enzymes as Drugs*. (J.S. Holcerberg and J. Roberts, eds. pp. 367-383 (1981)).

Other water soluble polymers have been used, 25 such as copolymers of ethylene glycol/propylene glycol, carboxymethylcellulose, dextran, polyvinyl alcohol, polyvinyl pyrrolidone, poly-1, 3-dioxolane, poly-1,3,6-trioxane, ethylene/maleic anhydride copolymer, polyaminoacids (either homopolymers or random 30 copolymers).

For polyethylene glycol, a variety of means have been used to attach the polyethylene glycol molecules to the protein. Generally, polyethylene glycol molecules are connected to the protein via a 35 reactive group found on the protein. Amino groups, such

- 3 -

as those on lysine residues or at the N-terminus, are convenient for such attachment. For example, Royer (U.S. Pat. No. 4,002,531, above) states that reductive alkylation was used for attachment of polyethylene glycol molecules to an enzyme. EP 0 539 167, published April 28, 1993, Wright, "Peg Imidates and Protein Derivates Thereof" states that peptides and organic compounds with free amino group(s) are modified with an immediate derivative of PEG or related water-soluble organic polymers. U.S. Patent No. 4,904,584, Shaw, issued February 27, 1990, relates to the modification of the number of lysine residues in proteins for the attachment of polyethylene glycol molecules via reactive amine groups.

One specific therapeutic protein which has been chemically modified is granulocyte colony stimulating factor, "G-CSF." G-CSF induces the rapid proliferation and release of neutrophilic granulocytes to the blood stream, and thereby provides therapeutic effect in fighting infection.

European patent publication EP 0 401 384, published December 12, 1990, entitled, "Chemically Modified Granulocyte Colony Stimulating Factor," describes materials and methods for preparing G-CSF to which polyethylene glycol molecules are attached.

Modified G-CSF and analogs thereof are also reported in EP 0 473 268, published March 4, 1992, entitled "Continuous Release Pharmaceutical Compositions Comprising a Polypeptide Covalently Conjugated To A Water Soluble Polymer," stating the use of various G-CSF and derivatives covalently conjugated to a water soluble particle polymer, such as polyethylene glycol.

A modified polypeptide having human granulocyte colony stimulating factor activity is reported in EP 0 335 423 published October 4, 1989.

- 4 -

Another example is pegylated IL-6, EP 0 442 724, entitled, "Modified hIL-6," (see co-pending U.S.S.N. 07/632,070) which discloses polyethylene glycol molecules added to IL-6.

5 EP 0 154 316, published September 11, 1985 reports reacting a lymphokine with an aldehyde of polyethylene glycol.

Many methods of attaching a polymer to a protein involve using a moiety to act as a linking 10 group. Such moieties may, however, be antigenic. A tresyl chloride method involving no linking group is available, but this method may be difficult to use to produce therapeutic products as the use of tresyl chloride may produce toxic by-products. See Francis et 15 al., In: Stability of protein pharmaceuticals: in vivo pathways of degradation and strategies for protein stabilization (Eds. Ahern., T. and Manning, M.C.) Plenum, New York, 1991) Also, Delgado et al., "Coupling of PEG to Protein By Activation With Tresyl Chloride, 20 Applications In Immunoaffinity Cell Preparation", In: Fisher et al., eds., Separations Using Aqueous Phase Systems, Applications In Cell Biology and Biotechnology, Plenum Press, N.Y.N.Y., 1989 pp. 211-213.

Chamow et al., Bioconjugate Chem. 5: 133-140 25 (1994) report the modification of CD4 immunoadhesin with monomethoxlypoly(ethylene glycol) aldehyde via reductive alkylation. The authors report that 50% of the CD4-Ig was MePEG-modified under conditions allowing the control over the extent of pegylation. Id. at page 137. The 30 authors also report that the in vitro binding capability of the modified CD4-Ig (to the protein gp 120) decreased at a rate correlated to the extent of MePEGylation. Ibid. See also, Rose et al., Bioconjugate Chemistry 2: 154-159 (1991) which reports the selective attachment of

- 5 -

the linker group carbohydrazide to the C-terminal carboxyl group of a protein substrate (insulin).

None of the methods in the general state of the art, or the art relating to particular proteins, 5 allow for selective attachment of a water soluble polymer to the N-terminus of a protein such as G-CSF, however. Rather, the currently existing methods provide for non-selective attachment at any reactive group, whether located within the protein, such as a lysine 10 side group, or at the N-terminus. This results in a heterogenous population. For example, for pegylated G-CSF molecules, some molecules have a different number of polyethylene glycol moieties than others. As an illustration, protein molecules with five lysine 15 residues reacted in the above methods may result in a heterogenous mixture, some having six polyethylene glycol moieties, some five, some four, some three, some two, some one and some zero. And, among the molecules with several, the polyethylene glycol moieties may not 20 be attached at the same location on different molecules.

This is disadvantageous when developing a therapeutic pegylated protein product. In such development, predictability of biological activity is crucial. For example, it has been shown that in the 25 case of nonselective conjugation of superoxide dismutase with polyethylene glycol, several fractions of the modified enzyme were completely inactive (P. McGoff et al. *Chem. Pharm. Bull.* 36:3079-3091 (1988)). One cannot have such predictability if the therapeutic protein 30 differs in composition from lot to lot. Some of the polyethylene glycol moieties may not be bound as stably in some locations as others, and this may result in such moieties becoming dissociated with the protein. Of course, if such moieties are randomly attached and 35 therefore become randomly dissociated, the

- 6 -

pharmacokinetics of the therapeutic protein cannot be precisely predictable. From a consumer's point of view, the circulation time may vary from lot to lot, and thus dosing may be inaccurate. From a producer's point of 5 view, garnering regulatory approval for sale of the therapeutic protein may have added complexities. Additionally, none of the above methods provide for selective N-terminal chemical modification without a linking moiety (between the protein and the polymer). 10 If a linking moiety is used, there may be disadvantages due to possible antigenicity.

Thus, there exists a need for methods allowing for selectively N-terminally chemically modified proteins and analogs thereof, including G-CSF and 15 consensus interferon (two chemically modified proteins exemplified below). The present invention addresses this need in a number of aspects.

#### Summary of the Invention

20 The present invention relates to substantially homogenous preparations of N-terminally chemically modified proteins, and methods therefor. Unexpectedly, chemical modification at the N-terminus of G-CSF demonstrated advantages in stability which are not seen 25 in other G-CSF species containing one chemical modification at another location on the molecule. Also unexpectedly, in the present process for making N-terminally chemically modified G-CSF, it was found that using reductive alkylation, one could provide 30 conditions for selectively modifying the N-terminus, and this method is broadly applicable to other proteins (or analogs thereof), as well as G-CSF. Also surprisingly, using reductive alkylation, the end product -- protein with an amine linkage to the water soluble polymer -- 35 was found to be far more stable than identical

- 7 -

polymer/protein conjugate having an amide linkage. One other protein so modified (as described in a working example below) is consensus interferon. Thus, as described below in more detail, the present invention 5 has a number of aspects relating to chemically modifying proteins (or analogs thereof) as well as specific modifications of specific proteins.

In one aspect, the present invention relates to a substantially homogenous preparation of 10 N-terminally chemically modified G-CSF (or analog thereof) and related methods. One working example below demonstrates that N-terminally monopegylated G-CSF more stable than other types of monopegylated G-CSF. Additionally, since the N-terminus of the G-CSF molecule 15 is more available during reaction with polyethylene glycol, a higher proportion of the N-termini are pegylated, and therefore, this species provides processing advantages.

The present invention also relates to a type 20 of reductive alkylation which selectively activates  $\alpha$ -amino group of the N-terminal residue of a protein or analog thereof, thereby providing for selective attachment of a water soluble polymer moiety at the N-terminus. This provides for a substantially 25 homogenous preparation of polymer/protein conjugate molecules as well as (if polyethylene glycol is used) a preparation of pegylated protein molecules having the polyethylene glycol moiety directly coupled to the protein moiety. This method is described below for 30 G-CSF and for consensus interferon, and these provide for additional aspects of the present invention.

- 8 -

Brief Description of the Drawings

FIGURE 1A is a reproduction of the chromatogram of the peaks from ion exchange chromatography of pegylated G-CSF.

5 FIGURE 1B is an SDS-PAGE of various species of mono-pegylated G-CSF.

FIGURE 2 is an SEC-HPLC profile of (Line A) recombinant human methionyl G-CSF standard; (Line B) SCM-PEG-GCSF reaction mix; (Line C) N-terminally 10 pegylated G-CSF; (Line D) lysine 35 monopegylated G-CSF; (Line E) lysine 41 monopegylated G-CSF.

FIGURES 3A, 3B, and 3C are HPLC endoproteinase SV8 peptide mapping tracings of (3A) N-terminally pegylated G-CSF; (3B) lysine 35 monopegylated G-CSF; 15 (3C) lysine 41 monopegylated G-CSF.

FIGURE 4 is a bar graph illustrating a comparison of *in vitro* bioactivity of monopegylated G-CSF species compared to an unpegylated standard.

FIGURES 5A and 5B are graphs illustrating 20 results of *in vivo* bioactivity assays of monopegylated G-CSF derivatives, with 5A illustrating the average hamster white blood cell count after a single subcutaneous injection of N-terminally pegylated G-CSF, lysine 35 monopegylated G-CSF, or lysine 41 25 monopegylated G-CSF, and 5B illustrating the net average white blood cell count area under the curve after a single subcutaneous injection of the various monopegylated G-CSF derivatives listed above.

FIGURES 6A, 6B, and 6C are SEC-HPLC profiles 30 for stability studies of N-terminally pegylated G-CSF or lysine 35 monopegylated G-CSF. FIGURES 6A and 6B are the profiles for stability studies conducted at pH 6.0 at 4°C for (6A) N-terminally monopegylated G-CSF or (6B) lysine 35 monopegylated G-CSF. FIGURE 6C shows the 35 profiles for extended stability studies at pH 6.0 and

- 9 -

4°C for lysine 35 monopegylated G-CSF. Time ("T") indicates days.

5 FIGURE 7 illustrates size exclusion HPLC analysis of the reaction mixture in the process of reductive alkylation of rh-G-CSF with methoxypolyethylene glycol aldehyde (MW 6 kDa).

10 FIGURE 8 illustrates size exclusion HPLC analysis of the reaction mixture using N-hydroxysuccinimidyl ester of MPEG, also at MW=6kDa.

15 FIGURE 9 illustrates the total white blood cell response after a single subcutaneous dose to mono-N terminal MPEG-GCSF conjugates prepared by reductive alkylation of rh-G-CSF with MPEG aldehydes of different molecular weights (6 kDa, 12kDa and 20 kDa).

15

#### Detailed Description

The present invention relates to substantially homogenous preparations of N-terminally chemically modified proteins, and methods therefor.

20

In one aspect, the present invention relates to N-terminally chemically modified G-CSF compositions and methods therefor.

25

The present methods (for both N-terminally modified G-CSF as well as the present reductive alkylation methods) provide for a substantially homogenous mixture of monopolymer/protein conjugate. "Substantially homogenous" as used herein means that the only polymer/protein conjugate molecules observed are those having one polymer moiety. The preparation may 30 contain unreacted (i.e., lacking polymer moiety) protein. As ascertained by peptide mapping and N-terminal sequencing, one example below provides for a preparation which is at least 90% monopolymer/protein conjugate, and at most 10% unreacted protein.

35

Preferably, the N-terminally monopegylated material is

- 10 -

at least 95% of the preparation (as in the working example below) and most preferably, the N-terminally monopegylated material is 99% of the preparation or more. The monopolymer/protein conjugate has biological 5 activity. The present "substantially homogenous" N-terminally pegylated G-CSF preparations provided herein are those which are homogenous enough to display the advantages of a homogenous preparation, e.g., ease in clinical application in predictability of lot to lot 10 pharmacokinetics.

One may choose to prepare a mixture of polymer/protein conjugate molecules, and the advantage provided herein is that one may select the proportion of monopolymer/protein conjugate to include in the mixture. 15 Thus, if desired, one may prepare a mixture of various protein with various numbers of polymer moieties attached (i.e., di-, tri-, tetra-, etc.) and combine with the monopolymer/protein conjugate material prepared using the present methods, and have a mixture with a 20 predetermined proportion of monopolymer/protein conjugate.

Provided below is a working example using G-CSF, which, as described above, is a therapeutic protein used to treat hematopoietic disorders. In 25 general, G-CSF useful in the practice of this invention may be a form isolated from mammalian organisms or, alternatively, a product of chemical synthetic procedures or of prokaryotic or eukaryotic host expression of exogenous DNA sequences obtained by 30 genomic or cDNA cloning or by DNA synthesis. Suitable prokaryotic hosts include various bacteria (e.g., *E. coli*); suitable eukaryotic hosts include yeast (e.g., *S. cerevisiae*) and mammalian cells (e.g., Chinese hamster ovary cells, monkey cells). Depending upon the 35 host employed, the G-CSF expression product may be

- 11 -

glycosylated with mammalian or other eukaryotic carbohydrates, or it may be non-glycosylated. The G-CSF expression product may also include an initial methionine amino acid residue (at position -1). The 5 present invention contemplates the use of any and all such forms of G-CSF, although recombinant G-CSF, especially E. coli derived, is preferred, for, among other things, greatest commercial practicality.

Certain G-CSF analogs have been reported to be 10 biologically functional, and these may also be chemically modified, by, for example, the addition of one or more polyethylene glycol molecules. G-CSF analogs are reported in U.S. Patent No. 4,810,643. Examples of other G-CSF analogs which have been reported 15 to have biological activity are those set forth in AU-A-76380/91, EP O 459 630, EP O 272 703, EP O 473 268 and EP O 335 423, although no representation is made with regard to the activity of each analog reportedly disclosed. See also AU-A-10948/92, PCT US94/00913 and EP 20 0 243 153.

Generally, the G-CSFs and analogs thereof useful in the present invention may be ascertained by practicing the chemical modification procedures as provided herein to selectively chemically modify the 25 N-terminal  $\alpha$ -amino group, and testing the resultant product for the desired biological characteristic, such as the biological activity assays provided herein. Of course, if one so desires when treating non-human mammals, one may use recombinant non-human G-CSF's, such 30 as recombinant murine, bovine, canine, etc. See PCT WO 9105798 and PCT WO 8910932, for example.

Thus, another aspect of the present invention includes N-terminally chemically modified G-CSF analog compositions. As described above, G-CSF analogs may 35 include those having amino acid additions, deletions

- 12 -

and/or substitutions (as compared to the G-CSF amino acid sequence set forth in Example 1, below). Those G-CSF analogs which are predicted to function when N-terminally pegylated to selectively stimulate the 5 production of neutrophils are those with an N-terminus which is not necessary for binding to a G-CSF receptor. See Hill et al., PNAS-USA 90: 5167-5171 (1993); see also PCT US94/00913.

The polymer molecules used may be selected 10 from among water soluble polymers. (For the reductive alkylation procedure described herein, the polymers should have a single reactive aldehyde.) The polymer selected should be water soluble so that the protein to which it is attached does not precipitate in an aqueous 15 environment, such as a physiological environment. For reductive alkylation, the polymer selected should have a single reactive aldehyde so that the degree of polymerization may be controlled as provided for in the present methods. The polymer may be branched or 20 unbranched. Preferably, for therapeutic use of the end-product preparation, the polymer will be pharmaceutically acceptable. One skilled in the art will be able to select the desired polymer based on such 25 considerations as whether the polymer/protein conjugate will be used therapeutically, and if so, the desired dosage, circulation time, resistance to proteolysis, and other considerations. For G-CSF, these may be ascertained using the assays provided herein, and one skilled in the art should select the appropriate assays 30 for other therapeutic proteins. The water soluble polymer may be selected from the group consisting of, for example, those listed above (in the Background section), and dextran or poly(n-vinyl pyrrolidone)polyethylene glycol, propylene glycol 35 homopolymers, prolypropylene oxide/ethylene oxide

- 13 -

co-polymers, polyoxyethylated polyols and polyvinyl alcohol.

Subject to considerations for optimization as discussed below, the polymer may be of any molecular weight, and may be branched or unbranched. For polyethylene glycol, the preferred molecular weight is between about 2kDa and about 100kDa (the term "about" indicating that in preparations of polyethylene glycol, some molecules will weigh more, some less, than the stated molecular weight). Examples 1 and 2 below involve the use of PEG 6000, which was selected for ease in purification and for providing an adequate model system. Other sizes may be used, depending on the desired therapeutic profile (e.g., the duration of sustained release desired, the effects, if any on biological activity, the ease in handling, the degree or lack of antigenicity and other known effects of the polyethylene glycol to a therapeutic protein or analog).

One specific aspect of the present invention is N-terminally monopegylated G-CSF comprised of a polyethylene glycol moiety and a G-CSF moiety. For the present compositions, one may select from a variety of polyethylene glycol molecules (by molecular weight, branching, etc.), the proportion of polyethylene glycol molecules to G-CSF protein molecules in the reaction mix, the type of pegylation reaction to be performed, the method of obtaining the selected N-terminally pegylated G-CSF, and the type of G-CSF to be used. Further, the present compositions and methods include formulation of pharmaceutical compositions, methods of treatment and manufacture of medicaments.

The proportion of polyethylene glycol molecules to protein molecules will vary, as will their concentrations in the reaction mixture. In general, the optimum ratio (in terms of efficiency of reaction in

- 14 -

that there is no excess unreacted protein or polymer) will be determined by the molecular weight of the polyethylene glycol selected. In addition, as one example of the present methods involves non-specific 5 pegylation and later purification of N-terminally monopegylated species, the ratio may depend on the number of available reactive groups (typically  $\approx$  or  $\geq$  amino groups) available. One working example herein involved a fairly low reaction ratio of protein:PEG 10 molecules to obtain monopegylated material generally (1.5 PEG molecules per protein molecules).

For obtaining N-terminally pegylated G-CSF, the method for pegylation may also be selected from among various methods, as discussed above, or the 15 present reductive alkylation as described in Example 2, below. A method involving no linking group between the polyethylene glycol moiety and the protein moiety is described in Francis et al., In: *Stability of protein pharmaceuticals: in vivo pathways of degradation and 20 strategies for protein stabilization* (Eds. Ahern., T. and Manning, M.C.) Plenum, New York, 1991) Also, Delgado et al., "Coupling of PEG to Protein By Activation With Tresyl Chloride, Applications In 25 Immunoaffinity Cell Preparation", In: Fisher et al., eds., *Separations Using Aqueous Phase Systems, Applications In Cell Biology and Biotechnology*, Plenum Press, N.Y.N.Y., 1989 pp. 211-213, involves the use of tresyl chloride, which results in no linkage group 30 between the polyethylene glycol moiety and the protein moiety. This method may be difficult to use to produce therapeutic products as the use of tresyl chloride may produce toxic by-products. One of the present working examples involves the use of N-hydroxy succinimidyl esters of carboxymethyl methoxy polyethylene glycol. As 35 will be discussed in more detail below, another working

- 15 -

example involves the use of the present reductive alkylation methods.

The method of obtaining the N-terminally pegylated G-CSF preparation (i.e., separating this moiety from other monopegylated moieties if necessary) 5 may be by purification of the N-terminally pegylated material from a population of pegylated G-CSF molecules. For example, presented below is an example where pegylated G-CSF is first separated by ion exchange 10 chromatography to obtain material having a charge characteristic of monopegylated material (other multi-pegylated material having the same apparent charge may be present), and then the monopegylated materials are separated using size exclusion chromatography. In 15 this way, N-terminally monopegylated G-CSF was separated from other monopegylated species, as well as other multi-pegylated species. Other methods are reported. For example, PCT WO 90/04606, published May 3, 1990, 20 reports a process for fractionating a mixture of PEG-protein adducts comprising partitioning the PEG/protein adducts in a PEG-containing aqueous biphasic system.

In a different aspect, the present invention provides a method for selectively obtaining an N-terminally chemically modified protein (or analog). 25 Provided below is a method of protein modification by reductive alkylation which exploits differential reactivity of different types of primary amino groups (lysine versus the N-terminal) available for derivatization in a particular protein. Under the appropriate reaction conditions, substantially selective 30 derivatization of the protein at the N-terminus with a carbonyl group containing polymer is achieved. The reaction is performed at pH which allows one to take advantage of the pKa differences between the  $\epsilon$ -amino groups of the lysine residues and that of the  $\alpha$ -amino 35 groups of the lysine residues and that of the  $\alpha$ -amino

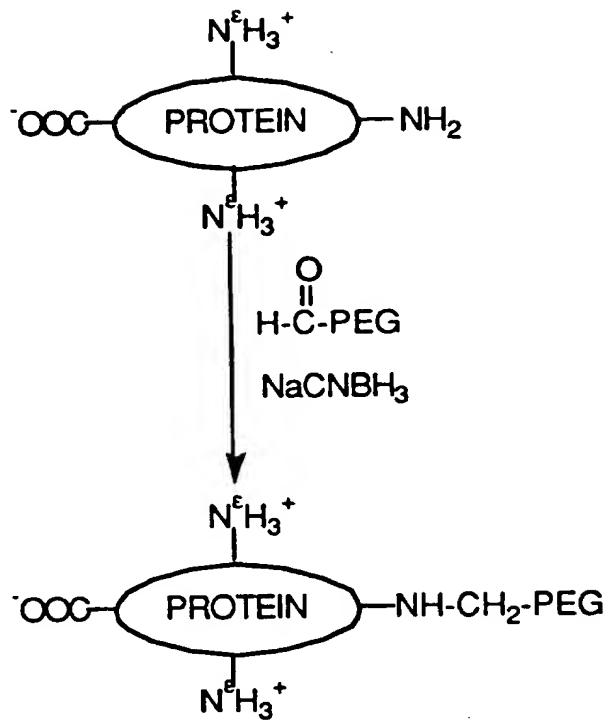
- 16 -

group of the N-terminal residue of the protein. By such selective derivatization attachment of a water soluble polymer to a protein is controlled: the conjugation with the polymer takes place predominantly at the 5 N-terminus of the protein and no significant modification of other reactive groups, such as the lysine side chain amino groups, occurs.

Importantly, and surprisingly, the present invention provides for a method of making a 10 substantially homogenous preparation of monopolymer/protein conjugate molecules, in the absence of further extensive purification as is required using other chemical modification chemistries. Additionally, the product having an amine linkage is unexpectedly more 15 stable than a product produced with an amide linkage, and this is demonstrated in the aggregation studies below. More specifically, if polyethylene glycol is used, the present invention also provides for N-terminally pegylated protein lacking possibly 20 antigenic linkage groups, and having the polyethylene glycol moiety directly coupled to the protein moiety without toxic by-products.

The reaction may be diagrammed as follows (indicating sodium cyanohydroboride as an illustrative 25 reducing agent):

- 17 -



- 18 -

Thus, one aspect of the present invention is a method for preparing a polymer/protein conjugate comprised of (a) reacting a protein moiety having more than one amino group with a water soluble polymer moiety under reducing alkylation conditions, at a pH suitable to selectively activate the  $\alpha$ -amino group at the amino terminus of said protein moiety so that said water soluble polymer selectively attaches to said  $\alpha$ -amino group; and (b) obtaining the reaction product. One may optionally, and preferably for a therapeutic product, separate the reaction products from unreacted moieties.

Another aspect of the present invention is that such reductive alkylation will provide for selective attachment of the polymer to any protein having an  $\alpha$ -amino group at the amino terminus, and provide for a substantially homogenous preparation of monopolymer/ protein conjugate. The term "monopolymer/ protein conjugate" is used here to mean a composition comprised of a single polymer moiety attached to a protein moiety (also encompassed are those conjugates using protein analogs as described herein). The monopolymer/protein conjugate will have a polymer moiety located at the N-terminus, but not on amino side groups, such as those for lysine. The preparation will preferably be greater than 80% monopolymer/ protein conjugate, and more preferably greater than 95% monopolymer protein conjugate.

For a substantially homogenous population of monopolymer/protein conjugate molecules, the reaction conditions are those which permit the selective attachment of the water soluble polymer moiety to the N-terminus of the desired protein. Such reaction conditions generally provide for pKa differences between the lysine amino groups and the  $\alpha$ -amino group at the N-terminus (the pK being the pH at which 50% of the

- 19 -

amino groups are protonated and 50% are not). In general, for different proteins, different pH's may be used for optimally modifying the  $\alpha$ -amino groups of the N-terminus.

5 The pH also affects the ratio of polymer to protein to be used. In general, if the pH is lower than the pK, a larger excess of polymer to protein will be desired (i.e., the less reactive the N-terminal  $\alpha$ -amino group, the more polymer needed to achieve optimal 10 conditions). If the pH is higher than the pK, the polymer:protein ratio need not be as large (i.e., more reactive groups are available, so fewer polymer molecules are needed).

15 Another important consideration is the molecular weight of the polymer. In general, the higher the molecular weight of the polymer, the fewer number of polymer molecules which may be attached to the protein. Similarly, branching of the polymer should be taken into account when optimizing these parameters. Generally, 20 the higher the molecular weight (or the more branches) the higher the polymer:protein ratio.

25 For the present reductive alkylation, the reducing agent should be stable in aqueous solution and preferably be able to reduce only the Schiff base formed in the initial process of reductive alkylation. Preferred reducing agents may be selected from the group consisting of sodium borohydride, sodium cyanoborohydride, dimethylamine borate, trimethylamine borate and pyridine borate. Sodium cyanoborohydride was 30 used in the working examples below.

35 The water soluble polymer may be of the type described above, and should have a single reactive aldehyde for coupling to the protein. For polyethylene glycol, use of PEG 6000 for coupling to G-CSF and PEG 12000 for consensus interferon are described below. It

- 20 -

is noted, that for G-CSF, PEG 12000, 20000 and 25000 have also been used successfully in the present methods. Polyethylene glycol propionaldehyde (see, e.g., U.S. Patent No. 5,252,714) is advantageous for its stability 5 in water.

As indicated above, the present methods are broadly applicable to any protein or analog thereof having an N-terminal  $\alpha$ -amino group. For example, proteins which are the product of an exogenous DNA 10 sequence expressed in bacteria may have, as a result of bacterially expression, an N-terminal methionyl residue with an  $\alpha$ -amino group. As indicated above, peptides are included, as are peptidomimetics and other modified 15 proteins. Protein analogs, such as the G-CSF analogs described above, and the non-naturally occurring consensus interferon are also suitable for the present methods.

Thus, for the present N-terminally chemically modified G-CSF, any of the G-CSF's or analogs as 20 described herein may be used (e.g., those described supra). The working examples below use recombinant G-CSF produced in bacteria, having 174 amino acids and an extra N-terminal methionyl residue. As described herein, the chemical modification may be performed with 25 any of the water soluble polymers described herein, and the present working examples describe the use of polyethylene glycol.

Consensus interferon is another protein used in the present working examples. Demonstrated below is 30 the preparation of chemically modified consensus interferon using the present reductive alkylation methods for N-terminal monopegylation. Thus, other aspects of the present invention relate to these preparations. As employed herein, consensus human 35 leukocyte interferon, referred to here as "consensus

- 21 -

interferon," or "IFN-con", means a nonnaturally-  
occurring polypeptide, which predominantly includes  
those amino acid residues that are common to all  
naturally-occurring human leukocyte interferon subtype  
5 sequences and which include, at one or more of those  
positions where there is no amino acid common to all  
subtypes, an amino acid which predominantly occurs at  
that position and in no event includes any amino acid  
residue which is not extant in that position in at least  
10 one naturally-occurring subtype. IFN-con encompasses  
the amino acid sequences designated IFN-con<sub>1</sub>, IFN-con<sub>2</sub>  
and IFN-con<sub>3</sub> which are disclosed in commonly owned U.S.  
Patents 4,695,623 and 4,897,471, the entirety of which  
are hereby incorporated by reference. (U.S. Patent Nos.  
15 4,897,471 and 4,695,623 use the denomination "α" which  
is not used herein.) DNA sequences encoding IFN-con may  
be synthesized as described in the above-mentioned  
patents or other standard methods. IFN-con polypeptides  
are preferably the products of expression of  
20 manufactured DNA sequences, transformed or transfected  
into bacterial hosts, especially E. coli. That is,  
IFN-con is recombinant IFN-con. IFN-con is preferably  
produced in E. coli may be purified by procedures known  
to those skilled in the art and generally described in  
25 Klein et al., J. Chromatog. 454: 205-215 (1988) for  
IFN-con<sub>1</sub>. Purified IFN-con may comprise a mixture of  
isoforms, e.g., purified IFN-con<sub>1</sub> comprises a mixture of  
methionyl IFN-con<sub>1</sub>, des-methionyl IFN-con<sub>1</sub> and  
des-methionyl IFN-con<sub>1</sub> with a blocked N-terminus (Klein  
30 et al., Arc. Biochem. Biophys. 276: 531-537 (1990)).  
Alternatively, IFN-con may comprise a specific, isolated  
isoform. Isoforms of IFN-con are separated from each  
other by techniques such as isoelectric focusing which  
are known to those skilled in the art.

- 22 -

Thus, another aspect of the present invention is a chemically modified consensus interferon wherein said consensus interferon moiety is selected from the group consisting of IFN-con<sub>1</sub>, IFN-con<sub>2</sub>, and IFN-con<sub>3</sub>.

5 The chemical modification is using a water soluble polymer as described herein, such as PEG, and the present reductive alkylation methods may be used for selective N-terminal chemical modification. Example 3 herein illustrates a chemically modified IFN con<sub>1</sub>  
10 comprised of an IFN con<sub>1</sub> moiety connected at the N-terminus to a polyethylene glycol moiety (PEG 12000).

In another aspect, the present methods yield pegylated proteins where the polyethylene glycol moiety is directly attached to a protein moiety, and a separate  
15 linking group is absent and no toxic by-products are present. The examples include G-CSF and consensus interferon as described herein. For a population of pegylated G-CSF protein molecules wherein the polyethylene glycol moiety is directly attached to the  
20 G-CSF protein moiety (not necessarily a population of N-terminally pegylated G-CSF molecules), one may perform the above reductive alkylation with or without an acidic pH.

In yet another aspect of the present  
25 invention, provided are pharmaceutical compositions of the above. Such pharmaceutical compositions may be for administration for injection, or for oral, pulmonary, nasal or other forms of administration. In general, comprehended by the invention are pharmaceutical  
30 compositions comprising effective amounts of monopolymer/protein conjugate products of the invention together with pharmaceutically acceptable diluents, preservatives, solubilizers, emulsifiers, adjuvants and/or carriers. Such compositions include diluents of  
35 various buffer content (e.g., Tris-HCl, acetate,

- 23 -

phosphate), pH and ionic strength; additives such as detergents and solubilizing agents (e.g., Tween 80, Polysorbate 80), anti-oxidants (e.g., ascorbic acid, sodium metabisulfite), preservatives (e.g., Thimersol, 5 benzyl alcohol) and bulking substances (e.g., lactose, mannitol); incorporation of the material into particulate preparations of polymeric compounds such as polylactic acid, polyglycolic acid, etc. or into liposomes. Such compositions may influence the physical 10 state, stability, rate of in vivo release, and rate of in vivo clearance of the present N-terminally chemically modified proteins. See, e.g., Remington's 15 Pharmaceutical Sciences, 18th Ed. (1990, Mack Publishing Co., Easton, PA 18042) pages 1435-1712 which are herein incorporated by reference.

In yet another aspect of the present invention, methods of treatment and manufacture of a medicament are provided. Conditions alleviated or modulated by the administration of the present 20 polymer/G-CSF conjugates (or analogs having the hematopoietic biological properties of naturally occurring G-CSF) are typically those characterized by a reduced hematopoietic or immune function, and, more specifically, a reduced neutrophil count. Such 25 conditions may be induced as a course of therapy for other purposes, such as chemotherapy or radiation therapy. Such conditions may result from infectious disease, such as bacterial, viral, fungal or other infectious disease. For example, sepsis results from 30 bacterial infection. Or, such condition may be hereditary or environmentally caused, such as severe chronic neutropenia or leukemias. Age may also play a factor, as in the geriatric setting, patients may have a reduced neutrophil count or reduced neutrophil 35 mobilization. Some of such conditions are reviewed in

- 24 -

Filgrastim (r-met Hu G-CSF) in Clinical Practice, Morstyn, G. and T.M. Dexter, eds., Marcel Dekker, Inc., N.Y., N.Y. (1993), 351 pp. Other less-studied conditions which may be alleviated or modulated by 5 administration of the present polymer/G-CSF conjugates may include the reduction of lipids (or cholesterol) in the blood stream, and certain cardiovascular conditions, as G-CSF may induce production of plasminogen activators. The mode of action of G-CSF (or analogs) in 10 these settings is not well understood at present. The addition of a water soluble polymer, such as polyethylene glycol, may provide practical patient benefits in that the sustained duration of biological 15 activity may allow for fewer G-CSF injections per course of treatment.

Generally, conditions which may be alleviated or modulated by administration of the present polymer/consensus interferon are those to which consensus interferon is applicable and include cell 20 proliferation disorders, viral infections, and autoimmune disorders such as multiple sclerosis. Cf., McManus Balmer, DICP, The Annals of Pharmacotherapy 24: 761-767 (1990) (Clinical use of biologic response 25 modifiers in cancer treatment: an overview. Part I. The Interferons). Methods and compositions for the treatment of cell proliferation disorders using consensus interferon are described in PCT WO 92/06707, published April 30, 1992, which is herein incorporated by reference. For example, hepatitis (A, B, C, D, E) 30 may be treatable using the present pegylated consensus interferon molecules. The working example below demonstrates that, in vitro, chemically modified consensus interferon has 20% of the biological activity of non-chemically modified consensus interferon.

- 25 -

For all of the above molecules, as further studies are conducted, information will emerge regarding appropriate dosage levels for treatment of various conditions in various patients, and the ordinary skilled 5 worker, considering the therapeutic context, age and general health of the recipient, will be able to ascertain proper dosing. Generally, for injection or infusion, dosage will be between 0.01  $\mu$ g/kg body weight, (calculating the mass of the protein alone, without 10 chemical modification), and 100  $\mu$ g/kg (based on the same).

The below examples illustrate the various aspects discussed above. In Example 1, the advantages of N-terminally pegylated G-CSF are demonstrated as 15 compared to G-CSF monopegylated at lysine-35 or lysine 41 (of the G-CSF met + 174 amino acid version). Example 2 illustrates the present reductive alkylation in N-terminally pegylating G-CSF. The method provides for a substantially homogenous preparation of N-terminally 20 pegylated G-CSF. Example 3 illustrates the present reductive alkylation in N-terminally pegylating consensus interferon.

#### EXAMPLE 1

25

A. Preparation of Recombinant Human met-G-CSF

Recombinant human met-G-CSF (referred to as "rhG-CSF" or "r-met-hu-G-CSF" from time to time herein) was prepared as described above according to methods in 30 the Souza patent, U.S. Pat. No., 4,810,643, which is herein incorporated by reference. The rhG-CSF employed was an E. coli derived recombinant expression product having the amino acid sequence (encoded by the DNA

- 26 -

sequence) shown below (Seq.ID NOS. 1 and 2):

5            ATG ACT CCA TTA GGT CCT GCT TCT TCT CTG CCG CAA AGC TTT CTG  
 M            T    P    L    G    P    A    S    S    L    P    Q    S    F    L  
 CTG AAA TGT CTG GAA CAG GTT CGT AAA ATC CAG GGT GAC GGT GCT  
 L            K    C    L    E    Q    V    R    K    I    Q    G    D    G    A  
 10           GCA CTG CAA GAA AAA CTG TGC GCT ACT TAC AAA CTG TGC CAT CCG  
 A            L    Q    E    K    L    C    A    T    Y    K    L    C    H    P  
 GAA GAG CTG GTA CTG CTG GGT CAT TCT CTT GGG ATC ATC CCG TGG GCT  
 E            E    L    V    L    L    G    H    S    L    G    I    P    W    A  
 15           CCG CTG TCT TCT TGT CCA TCT CAA GCT CTT CAG CTG GCT GGT TGT  
 P            L    S    S    C    P    S    Q    A    L    Q    L    A    G    C  
 CTG TCT CAA CTG CAT TCT GGT CTG TTC CTG TAT CAG GGT CTT CTG  
 L            S    Q    L    H    S    G    L    F    L    Y    Q    G    L    L  
 20           CAA GCT CTG GAA GGT ATC TCT CCG GAA CTG GGT CCG ACT CTG GAC  
 Q            A    L    E    G    I    S    P    E    L    G    P    T    L    D  
 ACT CTG CAG CTA GAT GTA GCT GAC TTT GCT ACT ACT ATT TGG CAA  
 25           T    L    Q    L    D    V    A    D    F    A    T    T    I    W    Q  
 CAG ATG GAA GAG CTC GGT ATG GCA CCA GCT CTG CAA CCG ACT CAA  
 Q            M    E    E    L    G    M    A    P    A    L    Q    P    T    Q  
 30           GGT GCT ATG CCG GCA TTC GCT TCT GCA TTC CAG CGT CGT GCA GGA  
 G            A    M    P    A    F    A    S    A    F    Q    R    R    A    G  
 GGT GTA CTG GTT GCT TCT CAT CTG CAA TCT TTC CTG GAA GTA TCT  
 G            V    L    V    A    S    H    L    Q    S    F    L    E    V    S  
 35           TAC CGT GTT CTG CGT CAT CTG GCT CAG CCG TAA TAG  
 Y            R    V    L    R    H    L    A    Q    P    \*    \*    \*

40           (This was also the non-pegylated composition used for  
 the control animals.) Alternatively one may use  
 purchased Neupogen® for the following pegylation  
 procedures (the package insert for which is herein  
 incorporated by reference).

45           **B. Preparation of Pegylated G-CSF**

A 10 mg/ml solution of the above rh-G-CSF, in  
 100 mM Bicine pH 8.0, was added to solid SCM-MPEG  
 (N-hydroxy succinimidyl esters of carboxymethyl methoxy  
 polyethylene glycol) (Union Carbide) with an average

- 27 -

molecular weight of 6000 Daltons. This gave a 1.5 molar excess of SCM-MPEG to rh-G-CSF. After one hour with gentle stirring, the mixture was diluted to 2 mg/ml with sterile water, and the pH was adjusted to 4.0 with dilute HCl. The reaction was carried out at room temperature. At this stage, the reaction mixture consisted mainly of three forms of mono-pegylated rh-G-CSF, some di-pegylated rh-G-CSF, unmodified rh-G-CSF and reaction bi-product (N-hydroxy succinimide).

10

C. Preparation of N-terminally Pegylated rh-G-CSF

The three forms of monopegylated rh-G-CSF were separated from each other using ion exchange chromatography. The reaction mixture was loaded (1 mg protein/ml resin) onto a Pharmacia S Sepharose FF column (Pharmacia XK50/30 reservoir, bed volume of 440 ml) equilibrated in buffer A (20 mM sodium acetate, pH 4.0). The column was washed with 3 column volumes of buffer A. The protein was eluted using a linear gradient from 0-23% buffer B (20 mM sodium acetate, pH 4.0, 1M NaCl) in 15 column volumes. The column was then washed with one column volume of 100% buffer B and reequilibrated with 3 column volumes of buffer A. The flow rate for the entire run was maintained at 8 ml/min. The eluent was monitored at 280 nm and 5 ml fractions were collected. Fractions containing the individual monopegylated species were pooled according to FIGURE 1A. These pools were concentrated with a 350 mL Amicon stirred cell using a YM10 76 mm membrane.

30

Pooled fractions from the ion exchange chromatography were subjected to size exclusion chromatography to separate di-pegylated species from monopegylated species. Typically, 5-10 mg in 2-5 ml of solution were loaded onto a 120 ml Pharmacia Superdex 35 75 HR 16/60 column equilibrated with 20 mM sodium

- 28 -

acetate pH 4.0. The column was run at 1.5 ml/min for 100 min. Two ml fractions were collected. The protein content of the eluent was monitored at 280 nm. Fractions from separated peaks were pooled and subjected 5 to analysis. The table below compares the proportional yields for each peak.

TABLE 1  
Relative Yields and Site of Modification

10

Site of Modification	Figure 1A Reference	Relative Yields
N-Terminus	Peak 1A	3
Lysine-35	Peak 2A	2
Lysine-41	Peak 3A	1

Under these conditions, the lysines at positions 17 and 24 probably were not significantly pegylated.

15

#### D. Characterization

Five analyses were done to characterize each sample: (1) SDS-Page (Figure 1B), (2) Size exclusion chromatography HPLC ("SEC HPLC") (Figure 2), (3) peptide mapping analysis (Figures 3A, 3B, and 3C), (4) *in vitro* 20 G-CSF bioassay (Figure 4), and (5) *in vivo* testing in hamster (Figures 5A and 5B).

With regard to the composition of each sample, results demonstrate that, of the N-terminally monopegylated G-CSF, the samples showed a greater than 25 95% N-terminally pegylated composition, with the remainder probably being unpegylated material (although the remainder of the samples is lower than the detection limit of the assay). With regard to the percent monopegylated for each of the three types of 30 monopegylated material (N-terminal, pegylated at lysine 35, and pegylated at lysine 41), the N-terminal and the lysine 41 demonstrated greater than 97% monopegylated,

- 29 -

and the lysine 35 pegylated material being somewhat lower, probably due to the instability of the molecule in the assay conditions. To summarize, the following results were obtained:

5

TABLE 2  
Percent Composition of  
N-terminally pegylated G-CSF

	Non-Reduced SDS PAGE	SEC HPLC	N-Terminal Sequencing*
Mono-pegylated G-CSF	97.44	99.43	96.6
Unmodified G-CSF	2.56	0.57	3.4

10 \* The N-terminal sequencing, as discussed infra is not here considered quantitative, as there may have been artifactual separation of the polyethylene glycol molecule from the N-terminus of the protein during the sequencing process.

15

TABLE 3  
Percent Monopegylated for Three Species

	N-terminal PEG-GCSF (RI/UV=.96)*	LYS35 PEG- GCSF** (RI/UV=.72)	LYS41 PEG-GCSF (RI/UV=1.12)
Non-reduced SDS-PAGE	97.44	77.41	100.00
SEC HPLC	99.43	93.38	99.96

20 \* RI/UV refers to the Index of Refraction/Ultraviolet light absorbance ratio, and is used to estimate the number of polyethylene glycol molecules per molecule of protein. It is calculated from the SEC HPLC data using

- 30 -

an Index of Refraction for polyethylene glycol and an ultraviolet absorbance for protein.

\*\* Note that this species is unstable under the assay conditions used.

5

#### METHODS

1. SDS-PAGE. SDS-PAGE was carried out in a non-reduced 4-20% ISS Daiichi Pure Chemicals, Co., 10 Tokyo, Japan minigel using a Coomassie Brilliant Blue R-250 stain. The gel was scanned using a molecular Dynamics Densitometer with Image Quant.

Results: Results are presented in FIGURE 1B. Lane number 1 (from the left hand side) included molecular 15 weight protein standards (Novex Mark 12 Molecular Weight Standards). Lane 2 contains 3  $\mu$ g rh-G-CSF standard. Lane 3 contains the SCM-PEG-GCSF reaction mix, with 10  $\mu$ g loaded. Lane 4 contains N-terminally monopegylated G-CSF, with 10  $\mu$ g loaded. Lane 5 contains 10  $\mu$ g of 20 monopegylated G-CSF with the pegylation site at the lysine found at the 35th residue from the N-terminal methionine. Lane 6 contains 10  $\mu$ g of monopegylated G-CSF with the pegylation site at the lysine found at 25 the 41st residue from the N-terminal methionine. As can be seen, Lane 3, containing the N-terminally monopegylated material, shows a single band

2. Size Exclusion Chromatography-High Pressure Liquid Chromatography. SEC-HPLC was carried out using a Waters HPLC system with a Biosep SEC 3000 30 column, using 100 mM sodium phosphate, pH 6.9, 1ml/min for 20 minutes. The signal was monitored at 280 nm.

Results: As can be seen from Figure 2, line "C," containing the N-terminally monopegylated rh-G-CSF contains a single peak, as do lines "D" (Lys-35 35 monopegylated material) and "E" (Lys-41 monopegylated

- 31 -

material). This indicates substantial purity among the separated fractions of monopegylated G-CSF.

3. Peptide mapping. The following methods were used. Three samples, called "Mono-PEG 1", "Mono-PEG-2", and "Mono-PEG-3", were analyzed. (a) Reductive alkylation. 500  $\mu$ g aliquots of mono-PEG G-CSF were speed vac dried and reconstituted to a concentration of 1 mg in 950  $\mu$ l in 0.3 M Tris-HCl containing 6 M Guanidinium HCl and 1 mM EDTA pH 8.4. Samples were then S-carboxymethylated by adding iodoacetic acid and incubated at 37°C for 20 minutes. Samples were then desalted using Sephadex G-25 Quick Spin Protein Columns and buffer exchanged. After desalting and buffer exchange, sample concentration was adjusted to 0.5 mg/ml using additional buffer. (b) Endoproteinase SV8 digestion. Samples were digested with SV8 (enzyme to substrate ratio of 1:25) at 25°C for 26 hours. (c) HPLC peptide mapping. Protein digests were injected onto a Vydac C4 column (4.6 x 250 mm, 5  $\mu$  particle size, 300 Å pore size) and peptides were mapped by HPLC using a linear gradient of acetonitrile in 0.1% TFA. Peptides were manually collected and dried in a Speed Vac for sequence analysis. Results: As compared to a reference standard, (i) (FIGURE 3A) for "Mono-PEG-1", (the N-terminally mono-pegylated material), a peak at 57.3 minutes diminished and a new peak appeared at 77.5 minutes; (ii) (FIGURE 3B) for "Mono-PEG-2", (the lysine 35 pegylated material), there was a decrease in peak height for a peptide with a retention time of 30.3 minutes, and a new peak eluted at 66.3 minutes; (iii) (FIGURE 3C) for "Mono-PEG-3" (the lysine 41 pegylated material), the peak at retention time of 30.3 minutes was missing, and a new peak appeared at 66.4 minutes. These peptides were the only significant differences in the sample maps. There were some small incomplete

- 32 -

cleavages seen on either side of the peptide at 86.1 minutes due to minor digestion differences. (d) N-terminal sequence analysis. Each of the "new" peptides in the above maps were N-terminally sequenced for 5 identification. The dried peptides were reconstituted in 0.1% TFA and sequenced on an ABI protein sequencer. For "Mono-PEG-1" (the N-terminally pegylated material), 60% of the "new" peak (at 77.5 minutes) was sequenced for 10 cycles. The initial yield was less than 5%, 10 indicating that the N-terminal methionyl residue is blocked by a polyethylene glycol molecule. It is noted that this initial peptide should have resulted in a zero initial yield, and the <5% yield observed may be from detachment of the polyethylene glycol from the N- 15 terminal methionyl during sequence analysis. The sequence detected was that of the N-terminal peptide, M-T-P-L-G-P-A-S-S. For "Mono-PEG-2", (the lysine 35 pegylated material), 80% of the total peak volume was collected for the peak at 66.3 minutes, and was 20 sequenced for 9 cycles. The recovery of lysine 35 was significantly low, indicating pegylation at position 35. The recovery of lysine 41 was consistent with the other residue, indicating no modification of this position. The peptide at 30.3 minutes decreased in peak height 25 compared to the corresponding peak in the standard reference map. The peptide at 30.3 minutes is only 57.5% of the peak area of the corresponding peptide. The sequence detected for this species was K-L-C-A-T-Y-K-L. For "Mono-PEG-3", the lysine 41 30 material, 80% of the total peak volume collected for the peptide eluting at 66.4 minutes was sequenced for 9 cycles. The sequence detected was K-L-C-A-T`Y-K-L, and contained lysine residues 35 and 41. The recovery of lysine 35 was consistent with other residue recoveries. 35 The recovery of lysine 41 was significantly lower

- 33 -

indicating pegylation at position 41. Results: "Mono-PEG-1" is N-terminally monopegylated material; "Mono-PEG-2" is lysine 35 partially pegylated; and "Mono-PEG-3" is lysine 41 pegylated material. By comparing both 5 the reference standard (non-pegylated G-CSF) and GCSF monopegylated 1, 2, and 3 peptide maps, it was found that both the "Mono-PEG-2" (lysine 35) and "Mono-PEG-3" (lysine 41) maps exhibit slightly diminished peak heights for the N-terminal peptide. This indicates that 10 the lysine 35 and lysine 41 material contains a small amount of N-terminally pegylated material or that the N-terminal methionine has a small percentage of pegylation.

4. In vitro activity. The material was 15 active. FIGURE 4 illustrates the results of in vitro assays. As can be seen, the N-terminally monopegylated material had 68% of the activity of non-modified rhG-CSF.

Methods: The G-CSF in vitro bioassay is a mitogenic 20 assay utilizing a G-CSF dependent clone of murine 32D cells. Cells were maintained in Iscoves medium containing 5% FBS and 20 ng/ml rhG-CSF. Prior to sample addition, cells were prepared by rinsing twice with growth medium lacking rhG-CSF. An extended twelve point 25 rhG-CSF standard curve was prepared, ranging from 48 to .5ng/ml (equivalent to 4800 to 50 IU/ml). Four dilutions, estimated to fall within the linear portion of the standard curve, (1000 to 3000 IU/ml), were prepared for each sample and run in triplicate. Because 30 of their apparent lower activity in vitro, the pegylated rhG-CSF samples were diluted approximately 4-10 times less. A volume of 40 $\mu$ l of each dilution of sample or standard is added to appropriate wells of a 96 well microtiter plate containing 10,000 cells/well. After 35 forty-eight hours at 37°C and 5.5% CO<sub>2</sub>, 0.5 $\mu$ mCi of

- 34 -

methyl-<sup>3</sup>H-thymidine was added to each well. Eighteen hours later, the plates were then harvested and counted. A dose response curve (log rhG-CSF concentration vs. CPM-background) was generated and linear regression analysis of points which fall in the linear portion of the standard curve was performed. Concentrations of unknown test samples were determined using the resulting linear equation and correction for the dilution factor. Results: Results are presented in FIGURE 4. As can be seen, of the three monopegylated species, N-terminally monopegylated G-CSF demonstrates the highest in vitro biological activity.

5. In vivo activity. In vivo testing confirmed the activity of the N-terminally pegylated material. The in vivo testing was carried out by dosing male golden hamsters with a 0.1 mg/kg of sample, using a single subcutaneous injection. Four animals were subjected to terminal bleeds per group per time point. Serum samples were subject to a complete blood count on the same day that the samples were collected. The average white blood cell counts were calculated. As can be seen in FIGURES 5A and 5B, the response from each material peaks after one day following a single subcutaneous injection of 0.1 mg/kg. Two of the monopegylated materials, (N-terminal and Lys-35) showed prolonged responses, while the response for the protein pegylated at lysine-41 showed no increase in in vivo activity over unmodified rhG-CSF (indeed it shows less, FIGURE 5B). These results illustrate that attaching a single polyethylene glycol molecule can dramatically alter the therapeutic profile of a protein and that the benefit of pegylating a protein can be dependent upon the site of modification. (The net average WBC area under the curve after the single subcutaneous injection (calculated according to CRC Standard Mathematical

- 35 -

Tables, 26th Ed. (Beyer, W.H., Ed.) CRC Press Inc., Boca Raton, FL 1981. p. 125) was similar for the Lys-35 and N-terminal monopegylated species.)

5        E. Stability Studies

In addition, stability studies were performed on the N-terminal and Lys-35 monopegylated species as prepared above. (The Lys-41 material was not used as it demonstrated no additional activity beyond unmodified 10 G-CSF). These studies demonstrate that the N-terminally pegylated G-CSF is unexpectedly more stable upon storage than the other form of monopegylated G-CSF, monopegylated lysine 35. Stability was assessed in terms of breakdown of product, as visualized using 15 SEC-HPLC.

Methods: N-terminally pegylated G-CSF and lysine-35 monopegylated G-CSF were studied in two pH levels, pH 4.0 and pH 6.0 at 4°C, each for up to 16 days. Elevating the pH to 6.0 provides an environment for 20 accelerated stability assays. For the pH 6.0 samples, N-terminal monopegylated G-CSF and Lysine 35 monopegylated G-CSF as prepared above were placed in a buffer containing 20 mM sodium phosphate, 5 mM sodium acetate, 2.5 % mannitol, 0.005 % Tween-80, pH 6.0 at a 25 final protein concentration of 0.25 mg/ml. One ml aliquots were stored in 3 ml sterile injection vials. Vials of each was stored at 4°C and 29°C for up to 16 days. Stability was assessed by SEC-HPLC tracings. If the later measurements stayed the same (as 30 ascertained by visual inspection) as the initial (Time = 0) measurements, the sample was considered to be stable for that length of time.

Results: Results are illustrated in FIGURES 6A-6C.

(a) Comparison at pH 6.0 at 4°C. FIGURE 6A shows the 35 4°C SEC-HPLC profiles for N-terminally monopegylated

- 36 -

G-CSF at pH 6 over time and FIGURE 6B shows the 4°C SEC-HPLC profiles for lysine-35 monopegylated G-CSF at pH 6 over time. One interpretation is that the Lys-35 material is breaking down to a material with a molecular weight similar to that of unmodified G-CSF.

(b) Extended duration at pH 4.0 at 4°C. PH 4.0 and 4°C provides something of a control illustrating relatively stable conditions in that the N-terminal species shows no degradation. For the Lys 35 species, the break down of the material is still occurring, but at a much slower rate.

(c) Comparison at pH 6.0 at 4°C. FIGURES 6C illustrates the SEC-HPLC profiles for the monopegylated G-CSF's under these conditions, under extended time periods. As can be seen, at pH 6.0 and 4°C, the lysine-35 material exhibits no increase in depegylation at day 16 or day 35 beyond what was seen for day 6 (FIGURE 6B). This indicates that depegylation (instability) does not change, under those conditions, beyond day 6.

20

#### EXAMPLE 2

This example demonstrates a method of preparing a substantially homogenous population of monopegylated G-CSF using reductive alkylation, and characterization of this population. Recombinant G-CSF as described in the above example was used. As can be seen, not only do the present methods provide advantages in terms of yield of N-terminally chemically modified material, but also, the amine linkages of the present reductive alkylation process produce substantially more stable products as demonstrated by a large difference in the degree of aggregation upon storage.

- 37 -

A. Preparation of the mono-methoxypolyethylene glycol-GCSF conjugates with the site of attachment at the N-terminal  $\alpha$ -amino residue.

To a cooled (4 °C), stirred solution of rhG-CSF (1 ml, 5 mg/ml as described in the Example above) in 100 mM sodium phosphate, pH 5, containing 20 mM NaCNBH<sub>3</sub>, was added a 5-fold molar excess of methoxypolyethylene glycol aldehyde (MPEG) (average molecular weight, 6 kDa). The stirring of the reaction mixture was continued at the same temperature.

The extent of the protein modification during the course of the reaction was monitored by SEC HPLC using Bio-Sil SEC 250-5 column (BIO-RAD) eluted with 0.05 M NaH<sub>2</sub>PO<sub>4</sub>, 0.05 M Na<sub>2</sub>HPO<sub>4</sub>, 0.15 M NaCl, 0.01 M NaN<sub>3</sub>, pH 6.8 at 1 ml/min.

After 10 hours the SEC HPLC analysis indicated that 92% of the protein has been converted to the mono-MPEG-GCSF derivative. This can be seen in FIGURE 7, which is a recording of the protein concentration (as determined by absorbance at A<sub>280</sub>) and shows the peak eluting at 8.72 minutes as monopegylated G-CSF, and a minor peak of unreacted G-CSF eluting at 9.78 minutes.

As a comparison, FIGURE 8 shows the peaks obtained when using N-hydroxysuccinimidyl ester of MPEG. The molecular weight was also 6kDa. As can be seen, the mixture obtained from this reaction was: tri-MPEG-GCSF conjugated (shoulder at approximately 7.25 minutes), di-MPEG-GCSF conjugate (peak at 7.62 minutes), mono-MPEG-GCSF conjugate (peak at 8.43 minutes) and unreacted G-CSF (peak at 9.87 minutes).

At this 10 hour time point, where 92% of the protein had been converted to monopegylated material, the pH of the reaction mixture was adjusted to pH 4 with 100 mM HCl and the reaction mixture was diluted 5 times with 1 mM HCl.

- 38 -

The mono-MPEG-GCSF derivative was purified by ion exchange chromatography using HiLoad 16/10 S Sepharose HP column (Pharmacia) equilibrated with 20 mM sodium acetate buffer, pH 4. The reaction mixture was 5 loaded on the column at a flow rate of 1 ml/min and the unreacted MPEG aldehyde eluted with three column volumes of the same buffer. Then a linear 400 minute gradient from 0% to 45% 20 mM sodium acetate, pH 4, containing 1 M NaCl was used to elute the protein-polymer 10 conjugate at 4°C.

Fractions containing the mono-MPEG-GCSF derivative were pooled, concentrated and sterile filtered.

Various mono-MPEG-GCSF conjugates obtained by 15 modifying rh-G-CSF with MPEG aldehydes of different average molecular weight (12, 20 and 25 kDa) were prepared in a similar manner.

B. Analysis of Monopegylated G-CSF

20 1. Molecular Weight

The molecular weight of the monopegylated conjugates was determined by SDS-PAGE, gel filtration, matrix assisted laser desorption mass spectrometry, and equilibrium centrifugation. These results are presented 25 in Table 4, below.

- 39 -

TABLE 4  
Molecular Weights of N-terminally Alkylated  
Mono-MPEG-GCSF Conjugates

Conjugate	MW estimated	MW gel filtration	MW mass spectrometry	MW ultra-centrifugation
MPEG-(6kDa)-GCSF	24800	53024	24737	25548
MPEG-(12kDa)-GCSF	30800	124343	30703	29711
MPEG-(20kDa)-GCSF	38800	221876	38577	38196
MPEG-(25kDa)-GCSF	43800	333266	N/D	N/D

5

The structure of the prepared N-terminal mono-MPEG-GCSF conjugates was confirmed using the methods of N-terminal protein sequencing and peptide mapping. Cyanogen bromide cleavage of the N-terminal methionyl residue resulted in removal of the polyethylene glycol.

## 2. Biological Activity

The in vitro biological activity of the pegylated MPEG-GCSF conjugates was determined by measuring the stimulated uptake of  $^3\text{H}$  thymidine into mouse bone marrow cells.

The in vivo biological activity was determined by subcutaneous injection to hamsters MPEG-GCSF conjugates or rhG-CSF (at 100mg/kg) and measuring total white blood cell count. Bioactivity as compared to non-derivatized G-CSF was calculated as the area under

- 40 -

the WBC/time curve after subtracting the vehicle control curve. Relative bioactivity of the MPEG-GCSF derivatives was expressed as the percentage bioactivity compared to unmodified G-CSF.

5           This is illustrated in FIGURE 9, which is a graph illustrating the total white blood cell response to mono-N-terminal MPEG-GCSF conjugates prepared by reductive alkylation of rhG-CSF with MPEG aldehydes of different molecular weights (6kDa, 12kDa, and 20kDa).

10          As can be seen, all monopegylated molecules elicited a response. The higher the molecular weight of the polyethylene glycol moiety used, the higher the white blood cell count achieved, except the 12kDa achieved a slightly higher count than did the 20kDa version at

15          day 2.

### 3. Stability Studies

N-terminally pegylated G-CSF's prepared by the two different chemistries (amide vs. amine of the 20 reductive alkylation here) were compared for the degree of aggregation. Unexpectedly, N-terminally pegylated G-CSF using the amine chemistry was found to be substantially more stable than N-terminally pegylated G-CSF with an amide linkage (NHS chemistry as described 25 in Example 1).

Methods: Both N-terminally pegylated G-CSF samples were in 10 mM NaOAc pH4.0 with 5% sorbitol, at a concentration of 1mg protein/ml. The G-CSF's were pegylated with PEG 6000 for each. The amide-linked 30 conjugate was prepared as in Example 1, and the amine linked conjugate was prepared as in Example 2. Six samples of each were stored for eight weeks at 45°C. At the end of eight weeks, the degree of aggregation was determined using size exclusion chromatography and ion 35 exchange chromatography.

- 41 -

Results: The results demonstrate that the present reductive alkylation method is advantageous over acylation because, surprisingly, it produces a material with far fewer aggregates after 8 weeks at elevated 5 temperatures. The table below shows the percent of non-aggregated material ("main peak" material) for both materials using size exclusion chromatography (SEC) or ion exchange (IE):

10

TABLE 5

Sample: 8 wks, 45°C	% Main Peak SEC/IE
Amine	82%/84%
Amide	37%/65%*

\* This is relatively high because ion exchange does not allow for full analysis of the aggregation.

15

EXAMPLE 3

This example demonstrates chemically modified consensus interferon. More specifically, this example demonstrates a method of preparing a substantially 20 homogenous population of monopegylated IFN-con<sub>1</sub>, and characterization of this population.

It should be noted that while the present example uses IFN-con<sub>1</sub>, any of the consensus interferons as set forth above may be chemically modified. Such 25 chemical modification may be with any of the water soluble polymers as listed above, although PEG is used here. For pegylation, PEG 12000 is used here, although any water soluble PEG species may be used (PEG 12000 was selected for ease in handling and convenience). Again, 30 a variety of means for chemical modification are available (such as acetylation) but, for selective N-

- 42 -

terminal chemical modification, such as N-terminal pegylation, the present reductive alkylation method as described in this example is preferred.

5        A. Preparation of Consensus Interferon

IFN- $\alpha$ con<sub>1</sub> (here referred to as IFN-con<sub>1</sub>) as described in Figure 2 of U.S. Patent No. 4,695,623, which is incorporated by reference in its entirety, was used for the preparation of monopegylated consensus 10 interferon. The IFN-con<sub>1</sub> was produced by expression of exogenous DNA in bacteria, and contained a methionyl residue at the N-terminus.

15        B. Pegylation of Consensus Interferon

To a cooled (4 °C), stirred solution of IFN-con<sub>1</sub> (3.45 mg/ml, containing 35.25% of the N-terminally blocked form) in 100 mM sodium phosphate, pH 4.0, containing 20 mM NaCNBH<sub>3</sub> was added a 8-fold molar excess of methoxypolyethylene glycol aldehyde (MPEG) (average 20 molecular weight 12 kDa).

The extent of the protein modification during the course of the reaction was monitored by reverse phase HPLC using a polymer-based poly(styrene/divinylbenzene) column, such as PLRP-S (PL 25 Separation Sciences Polymer Laboratories).

After 10 hours the reverse phase HPLC analysis indicated that 80% of the protein with unblocked  $\alpha$ -amino group at the N-terminus has been converted to the MPEG-IFN-con<sub>1</sub> derivative.

30        At the 10 hour time point, the reaction mixture was diluted 5 times with water and the mono-MPEG-IFN-Con<sub>1</sub> derivative was purified by ion exchange chromatography using HiLoad 16/10 S Sepharose HP column (Pharmacia) equilibrated with 20 mM sodium acetate buffer, pH 4.0. The reaction mixture was loaded 35

- 43 -

on the column at a flow rate of 1 ml/min and the unreacted MPEG aldehyde eluted with three column volumes of the same buffer. Then a linear 420 minute gradient from 0% to 75% of 20 mM sodium acetate, pH 4.0, 5 containing 1 M NaCl was used to elute the protein-polymer conjugate at 4°C.

Fractions containing the mono-MPEG-IFN-Con<sub>1</sub> derivative were pooled, concentrated and sterile filtered.

10

C. Analysis of Monopegylated Consensus Interferon

1. Homogeneity

The homogeneity of the purified mono-MPEG-IFN-Con<sub>1</sub> conjugates was determined by SDS-PAGE 15 using 10-20% or 4-20% precast gradient gels (Integrated Separation Systems). The gels showed a main band at MW 35 kDa.

To characterize the effective size (hydrodynamic radius) of each mono-MPEG-IFN-con<sub>1</sub> species 20 a Superose 6 HR 10/30 (Pharmacia) gel filtration column was used. Proteins were detected by UV absorbance at 280 nm. The BIO-RAD gel filtration standards served as globular protein molecular weight markers.

The structure of the purified N-terminal 25 mono-MPEG-IFN-con<sub>1</sub> conjugates was confirmed using the methods of N-terminal protein sequencing and peptide mapping.

It is noted that this IFN-con<sub>1</sub> preparation contained some N-terminally blocked material, and this 30 material was not pegylated. The material which was pegylated, however, was monopegylated at the N-terminus. Thus, in this type of situation, one may wish to use other means to separate the blocked from the unblocked material, such as ion exchange or size exclusion 35 chromatography.

- 44 -

## 2. Biological Activity

The in vitro biological activity of the mono-MPEG-IFN Con<sub>1</sub> conjugates was determined by 5 measuring their antiviral bioactivity. The in vitro biological activity of the mono-MPEG-IFN-Con<sub>1</sub> conjugates was determined by measuring their antiviral bioactivity in human (HeLa) cells.

It was found that the mono-MPEG (12 kDa)-IFN-10 Con<sub>1</sub> conjugate shows 20% in vitro bioactivity (in U/mg of protein) when compared to the unmodified species. As noted above for pegylated G-CSF, the in vitro assays, while useful to demonstrate biological activity, may show a rather low level of activity for chemically 15 modified proteins because of characteristic sustained release. The in vivo biological activity may be higher than the in vitro biological activity.

## D. Chemically modified consensus interferon with 20 the N-terminally blocked molecules removed

The present reductive alkylation was also performed on the above IFN-con<sub>1</sub> which had the portion of N-terminally blocked molecules pre-removed. Both PEG 12000 and PEG 20000 were used in the reductive 25 alkylation method as described above.

The molecular apparent molecular weights were as follow:

Conjugate	Apparent MW by Gel Filtration	Apparent MW by SDS-PAGE
monoMPEG (12kDa) IFN-con <sub>1</sub>	104.0 kDa	35.6 kDa
monoMPEG (20kDa) IFN-con <sub>1</sub>	175.1 kDa	55.4 kDa

- 45 -

Analysis of the IFN-con<sub>1</sub> 20 kDa PEG conjugate using FPLC ion exchange chromatography resulted in three peaks:

5 MonoMPEG-IFN-con<sub>1</sub>: 66% of the total area (eluting at 265.93 ml)

Protein aggregate and oligo MPEG-IFN-con<sub>1</sub> conjugate: 24% of the total area (eluting at 238.42 ml); and

10 Unreacted IFN-con<sub>1</sub>: 10% of the total area (eluting at 328.77 ml).

The conditions were not further optimized. One may further separate the monopegylated material using chromatographic or other methods.

15 While the present invention has been described in terms of preferred embodiments, it is understood that variations and modifications will occur to those skilled in the art. Therefore, it is intended that the appended claims cover all such equivalent variations which come 20 within the scope of the invention as claimed.

- 46 -

SEQUENCE LISTING

(1) GENERAL INFORMATION:

(i) APPLICANT: AMGEN INC.

(ii) TITLE OF INVENTION: N-Terminally Chemically Modified Protein  
Composition and Methods

(iii) NUMBER OF SEQUENCES: 2

(iv) CORRESPONDENCE ADDRESS:

- (A) ADDRESSEE: Amgen Inc.
- (B) STREET: 1840 Dehavilland Drive
- (C) CITY: Thousand Oaks
- (D) STATE: California
- (E) COUNTRY: USA
- (F) ZIP: 91320

(v) COMPUTER READABLE FORM:

- (A) MEDIUM TYPE: Floppy disk
- (B) COMPUTER: IBM PC compatible
- (C) OPERATING SYSTEM: PC-DOS/MS-DOS
- (D) SOFTWARE: PatentIn Release #1.0, Version #1.25

(vi) CURRENT APPLICATION DATA:

- (A) APPLICATION NUMBER:
- (B) FILING DATE:
- (C) CLASSIFICATION:

(viii) ATTORNEY/AGENT INFORMATION:

- (A) NAME: Pessin, Karol M.
- (C) REFERENCE/DOCKET NUMBER: A-286

(2) INFORMATION FOR SEQ ID NO:1:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 531 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

ATGACTCCAT TAGGTCTGC TTCTCTCTG CCGCAAAGCT TTCTGCTGAA ATGTCTGGAA	60
CAGGTTCGTA AAATCCAGGG TGACGGTGCT GCACTGCAAG AAAAAGTGTG CGCTACTTAC	120
AAACTGTGCC ATCCGGAAGA GCTGGTACTG CTGGGTCTT CTCTTGGGAT CCCGTGGGCT	180
CCGCTGTCTT CTTGTCCATC TCAAGCTCTT CAGCTGGCTG GTTGTCTGTC TCAACTGCAT	240
TCTGGTCTGT TCCTGTATCA GGGTCTTCTG CAAGCTCTGG AAGGTATCTC TCCGGAAC TG	300
GGTCCGACTC TGGACACTCT GCAGCTAGAT GTAGCTGACT TTGCTACTAC TATTTGGCAA	360
CAGATGGAAG AGCTCGGTAT GGCAACAGCT CTGCAACCGA CTCAAGGTGC TATGCCGGCA	420
TTCGCTTCTG CATTCCAGCG TCGTGCAGGA GGTGTACTGG TTGCTTCTCA TCTGCAATCT	480
TTCCCTGGAAG TATCTTACCG TGTCTGCGT CATCTGGCTC AGCCGTAATA G	531

## (2) INFORMATION FOR SEQ ID NO:2:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 175 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: protein

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Met Thr Pro Leu Gly Pro Ala Ser Ser Leu Pro Gln Ser Phe Leu Leu	
1 5 10 15	
Lys Cys Leu Glu Gln Val Arg Lys Ile Gln Gly Asp Gly Ala Ala Leu	
20 25 30	
Gln Glu Lys Leu Cys Ala Thr Tyr Lys Leu Cys His Pro Glu Glu Leu	
35 40 45	
Val Leu Leu Gly His Ser Leu Gly Ile Pro Trp Ala Pro Leu Ser Ser	
50 55 60	
Cys Pro Ser Gln Ala Leu Gln Leu Ala Gly Cys Leu Ser Gln Leu His	
65 70 75 80	
Ser Gly Leu Phe Leu Tyr Gln Gly Leu Leu Gln Ala Leu Glu Gly Ile	
85 90 95	

- 48 -

Ser Pro Glu Leu Gly Pro Thr Leu Asp Thr Leu Gln Leu Asp Val Ala  
100 105 110

Asp Phe Ala Thr Thr Ile Trp Gln Gln Met Glu Glu Leu Gly Met Ala  
115 120 125

Pro Ala Leu Gln Pro Thr Gln Gly Ala Met Pro Ala Phe Ala Ser Ala  
130 135 140

Phe Gln Arg Arg Ala Gly Gly Val Leu Val Ala Ser His Leu Gln Ser  
145 150 155 160

Phe Leu Glu Val Ser Tyr Arg Val Leu Arg His Leu Ala Gln Pro  
165 170 175

- 49 -

WHAT IS CLAIMED IS:

1. A substantially homogenous preparation of  
N-terminally chemically modified G-CSF or analog  
5 thereof, optionally in a pharmaceutically acceptable  
diluent, carrier or adjuvant.

2 A preparation of claim 1 where said G-  
CSF is chemically modified with a chemical selected from  
10 the group consisting of dextran, poly(n-vinyl  
pyrrolidone), polyethylene glycols, propylene glycol  
homopolymers, propylene oxide/ethylene oxide co-  
polymers, polyoxyethylated polyols and polyvinyl  
alcohols.

15

3. A preparation of claim 2 where said G-CSF  
or analog thereof is chemically modified with  
polyethylene glycol.

20

4. A preparation of claim 3 said  
polyethylene glycol has a molecular weight of between  
about 2 kDa and 100 kDa.

25 5. A preparation of claim 4 wherein said  
polyethylene glycol has a molecular weight of between  
about 6 kDa and 25 kDa.

30 6. A preparation of claim 1 wherein said  
preparation is comprised of at least 90% N-terminally  
monopegylated G-CSF or analog thereof and at most 10%  
unpegylated G-CSF or analog thereof.

7. A preparation of claim 6 wherein said  
preparation is comprised of at least 95% N-terminally

- 50 -

monopegylated G-CSF or analog thereof and at most 5% unpegylated G-CSF or analog thereof.

8. A preparation of claim 1 whererein said  
5 G-CSF has the sequence identified in SEQ. ID No. 1.

9. A substantially homogenous preparation of  
N-terminally monopegylated G-CSF, optionally in a  
pharmaceutically acceptable diluent, carrier or  
10 adjuvant, wherein: (a) said G-CSF has the amino acid  
sequence identified in SEQ. ID No. 1; (b) said G-CSF is  
monopegylated with a polyethylene glycol moiety having a  
molecular weight of about 12 kDa.

15 10. A pharmaceutical composition comprising:  
(a) a substantially homogenous preparation of  
monopegylated G-CSF, said monopegylated G-CSF consisting  
of a polyethylene glycol moiety having a molecular  
weight of about 12 kDa connected to a G-CSF moiety  
20 solely at the N-terminus thereof via an amine linkage;  
(b) fewer than 5% non-pegylated G-CSF molecules; and (c)  
a pharmaceutially acceptable diluent, adjuvant or  
carrier.

25 11. A method of treating a hematopoietic  
disorder comprising administering a therapeutically  
effective dose of a preparation of any of claims 1-10.

12. A method for attaching a water soluble  
30 polymer to a protein or analog thereof, wherein said  
water soluble polymer has a single reactive aldehyde  
group, said method comprising:  
(a) reacting a protein moiety with a water  
soluble polymer moiety under reducing alkylation

35 conditions, at a pH sufficiently acidic to selectively

- 51 -

activate the  $\alpha$ -amino group at the amino terminus of said protein moiety so that said water soluble polymer selectively attaches to said  $\alpha$ -amino group; and

5 (b) obtaining the reaction product and  
(c) optionally, separating the reaction products from unreacted moieties.

13. A method of claim 12 wherein said polymer is pharmaceutically acceptable.

10 14. A method of claim 12 wherein said water soluble polymer is selected from the group consisting of dextran, poly(n-vinyl pyrrolidone), polyethylene glycols, propylene glycol homopolymers, 15 propylene oxide/ethylene oxide co-polymers, polyoxyethylated polyols and polyvinyl alcohols.

15. A method of claim 14 wherein said polymer is polyethylene glycol.

20 16. A method of claim 12 wherein said reducing alkylation reaction involves the use of a reducing agent selected from sodium borohydride, sodium cyanoborohydride, dimethylamine borate, trimethylamine 25 borate and pyridine borate.

30 17. A method for attaching a polyethylene glycol molecule to a G-CSF molecule, wherein said polyethylene glycol molecule has a single reactive aldehyde group, said method comprising:  
35 (a) reacting said G-CSF with said polyethylene glycol molecule under reducing alkylation conditions, at a pH sufficiently acidic to selectively activate the  $\alpha$ -amino group at the amino terminus of said G-CSF; and

- 52 -

(b) obtaining the pegylated G-CSF and  
(c) optionally, separating the pegylated G-CSF from from non-pegylated G-CSF.

5 18. A method of claim 17 wherein said polyethylene glycol molecule has a molecular weight of about 6 kDa to about 25 kDa.

10 19. The pegylated G-CSF product produced by the process of claim 17.

20. Chemically modified consensus interferon comprised of a consensus interferon protein moiety connected to at least one water soluble polymer moiety.

15 21. A chemically modified consensus interferon of claim 20 wherein said consensus interferon moiety is selected from the group consisting of IFN-con<sub>1</sub>, IFN-con<sub>2</sub>, and IFN-con<sub>3</sub>.

20 22. A chemically modified consensus interferon of claim 21 wherein said water soluble polymer is pharmaceutically acceptable.

25 23. A chemically modified consensus interferon of claim 20 wherein said water soluble polymer is selected from the group consisting of dextran, poly(n-vinyl pyrrolidone), polyethylene glycols, propylene glycol homopolymers, 30 polypropylene oxide/ethylene oxide co-polymers, polyoxyethylated polyols and polyvinyl alcohols.

35 24. A chemically modified consensus interferon according to claim 23 wherein said water soluble polymer moiety is polyethylene glycol.

- 53 -

25. A chemically modified consensus interferon according to claim 20 wherein said water soluble polymer moiety is connected to said consensus interferon moiety 5 directly without an additional linkage group.

26. A chemically modified consensus interferon comprised of IFN-con1 connected to at least one polyethylene glycol moiety.

10

27. Pegylated consensus interferon.

28. A method for attaching a water soluble polymer to consensus interferon, wherein said water 15 soluble polymer has a single reactive aldehyde group, said method comprising:

(a) reacting a consensus interferon moiety with a water soluble polymer moiety under reducing alkylation conditions, at a pH sufficiently acidic to 20 selectively activate the  $\alpha$ -amino group at the amino terminus of said consensus interferon moiety; and  
(b) obtaining the reaction product and  
(c) optionally, separating the reaction products from unreacted moieties.

25

29. A method of claim 28 wherein said polymer is pharmaceutically acceptable.

30. A method of claim 28 wherein said water soluble polymer is selected from the group consisting of dextran, poly(n-vinyl pyrrolidone), polyethylene glycols, propylene glycol homopolymers, polypropylene oxide/ethylene oxide co-polymers, polyoxyethylated polyols and polyvinyl alcohols.

35

- 54 -

31. A method of claim 30 wherein said polymer is polyethylene glycol.

32. A method of claim 28 wherein said 5 reducing alkylation reaction involves the use of a reducing agent selected from sodium borohydride, sodium cyanoborohydride, dimethylamine borate, trimethylamine borate and pyridine borate.

10 33. A method for attaching a polyethylene glycol molecule to a consensus interferon molecule, wherein said polyethylene glycol molecule has a single reactive aldehyde group, said method comprising:

15 (a) reacting said consensus interferon with said polyethylene glycol molecule under reducing alkylation conditions, at a pH sufficiently acidic to selectively activate the  $\alpha$ -amino group at the amino terminus of said consensus interferon; and

20 (b) obtaining the pegylated consensus interferon and

(c) optionally, separating the pegylated consensus interferon from from non-pegylated consensus interferon.

25 34. A method of claim 33 wherein said polyethylene glycol molecule has a molecular weight of about 2 kDa to about 100 kDa.

30 35. The pegylated consensus interferon product produced by the process of claim 33.

36. A substantially homogenous preparation of monopegylated consensus interferon.

- 55 -

37. A preparation of claim 36 comprising about 90% monopegylated consensus interferon and about 10% unpegylated consensus interferon.

5 38. A pharmaceutical composition comprising:

(a) a substantially homogenous preparation of monopegylated consensus interferon, said monopegylated consensus interferon consisting of a polyethylene glycol moiety connected to a consensus interferon moiety solely 10 at the N-terminus thereof via an amine linkage; (b) fewer than 5% non-pegylated consensus interferon molecules; and (c) a pharmaceutically acceptable diluent, adjuvant or carrier.

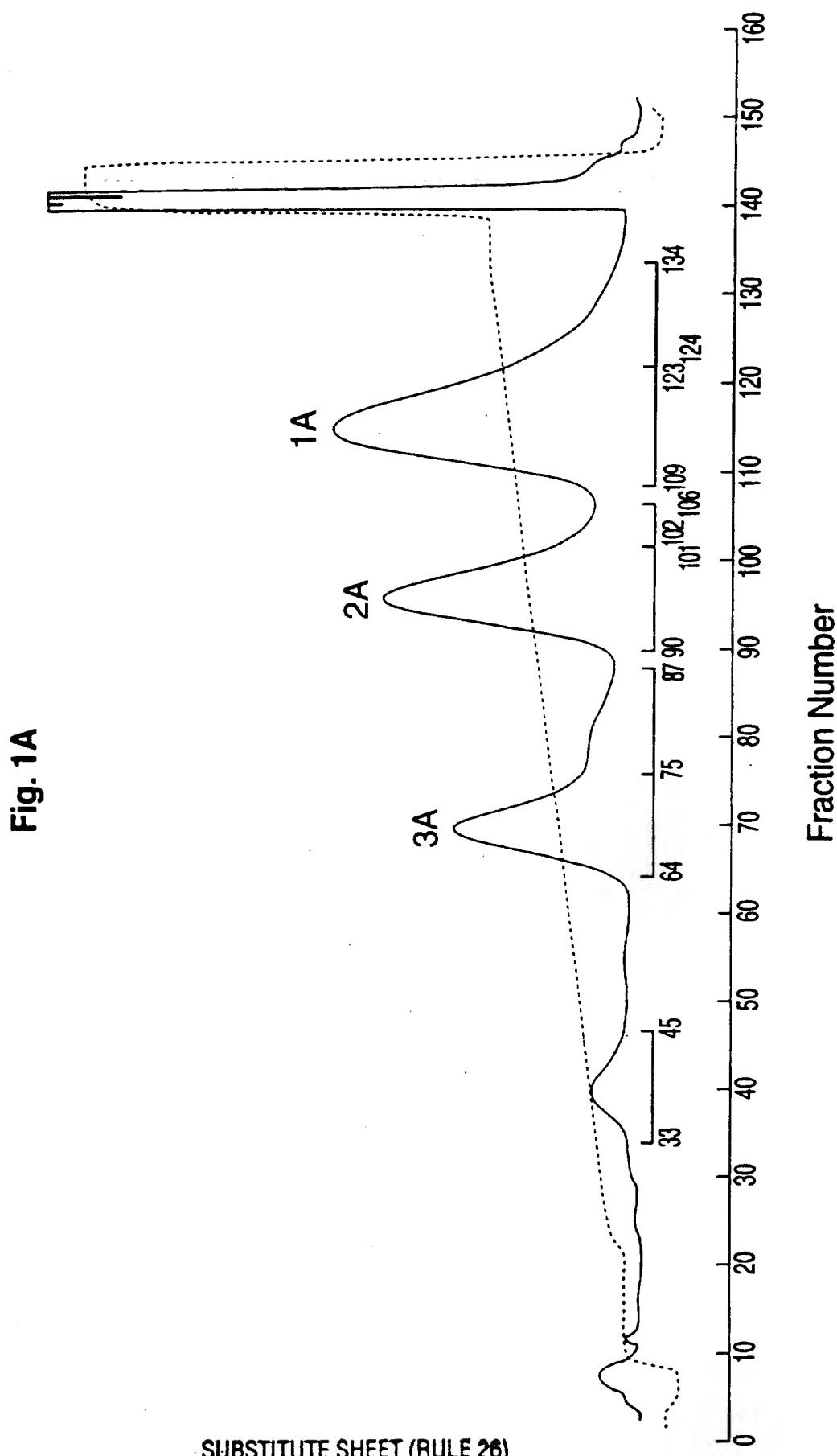
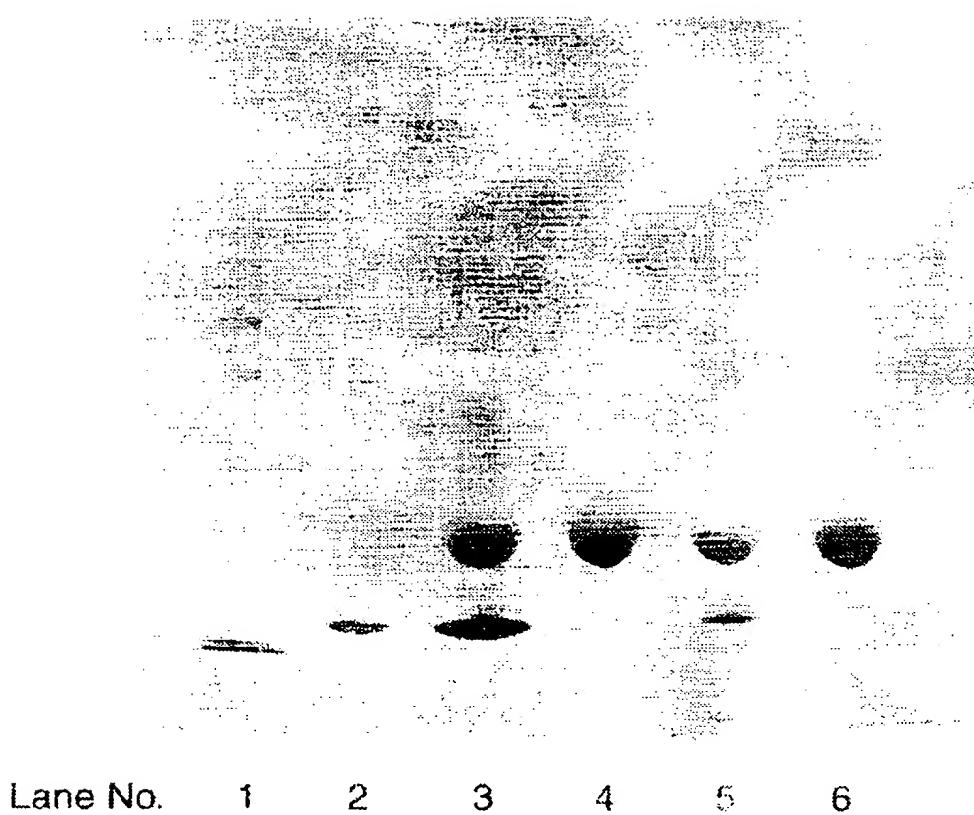


Fig. 1A

Fig. 15



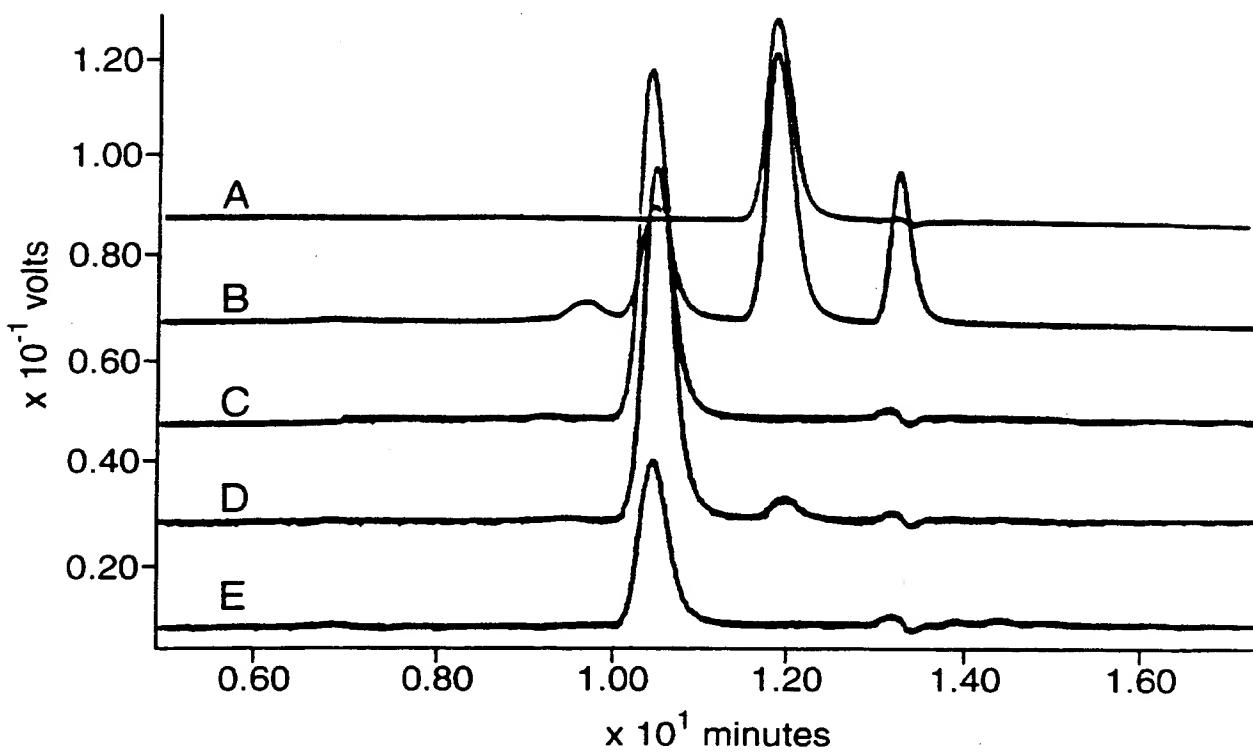
Lane No.	1	2	3	4	5	6
----------	---	---	---	---	---	---

Lane No.	Sample	ug loaded
1	MW Protein Standards	-
2	rHuG-CSF Std	3.0
3	SCM-PEG-GCSF Reaction Mix	10.0
4	Species 1 (N-Term)	10.0
5	Species 2 (Lys-35)	10.0
6	Species 3 (Lys-41)	10.0

BEST AVAILABLE COPY  
SUBSTITUTE SHEET (RULE 26)

**Fig. 2**

SEC-HPLC Profiles of  
(A) rHuG-CSF standard  
(B) SCM-PEG-GCSF Reaction Mixture  
(C) Species 1 (N-Term Derivative)  
(D) Species 2 (Lys-35 Derivative)  
(E) Species 3 (Lys-41 Derivative)



4/15

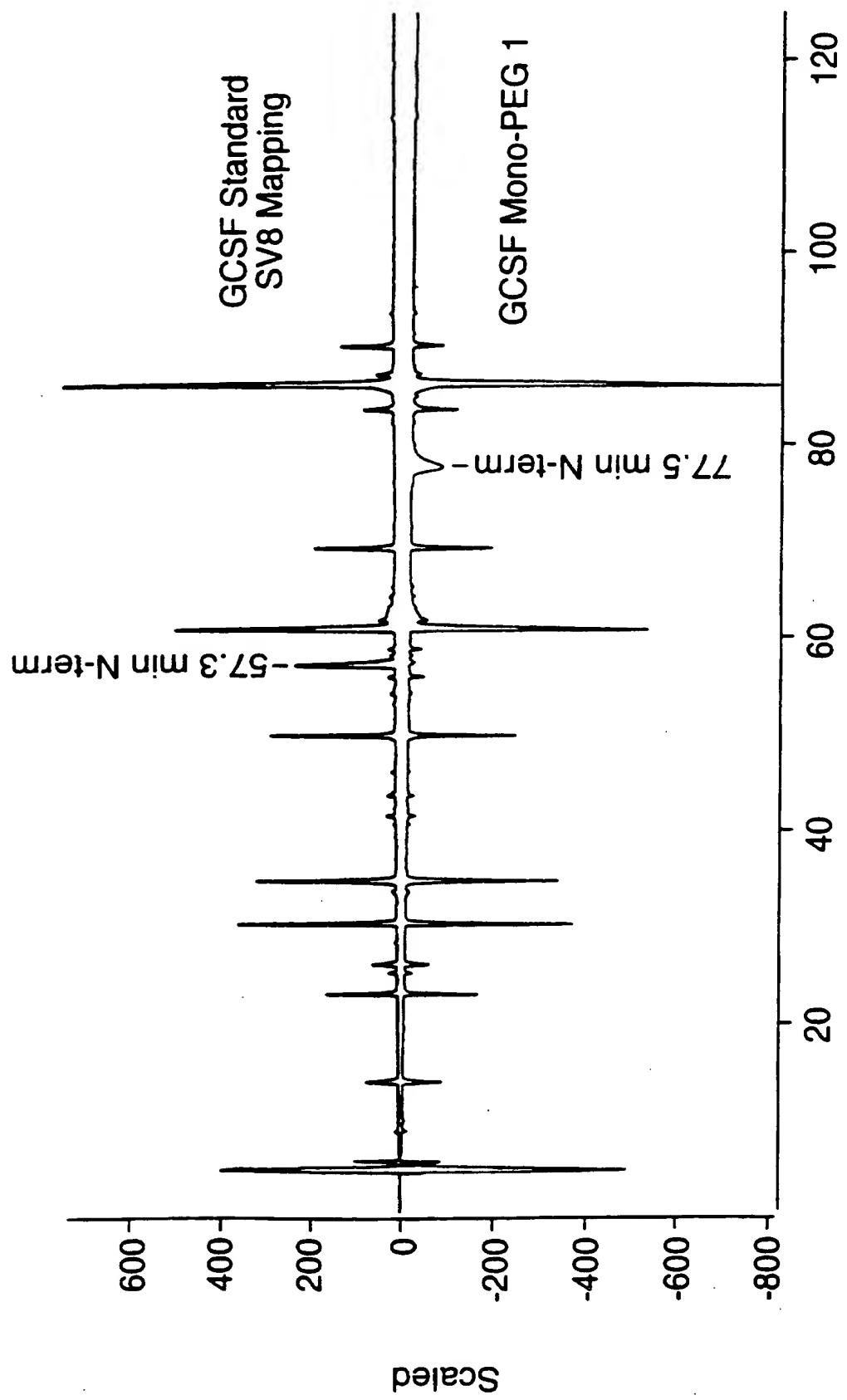
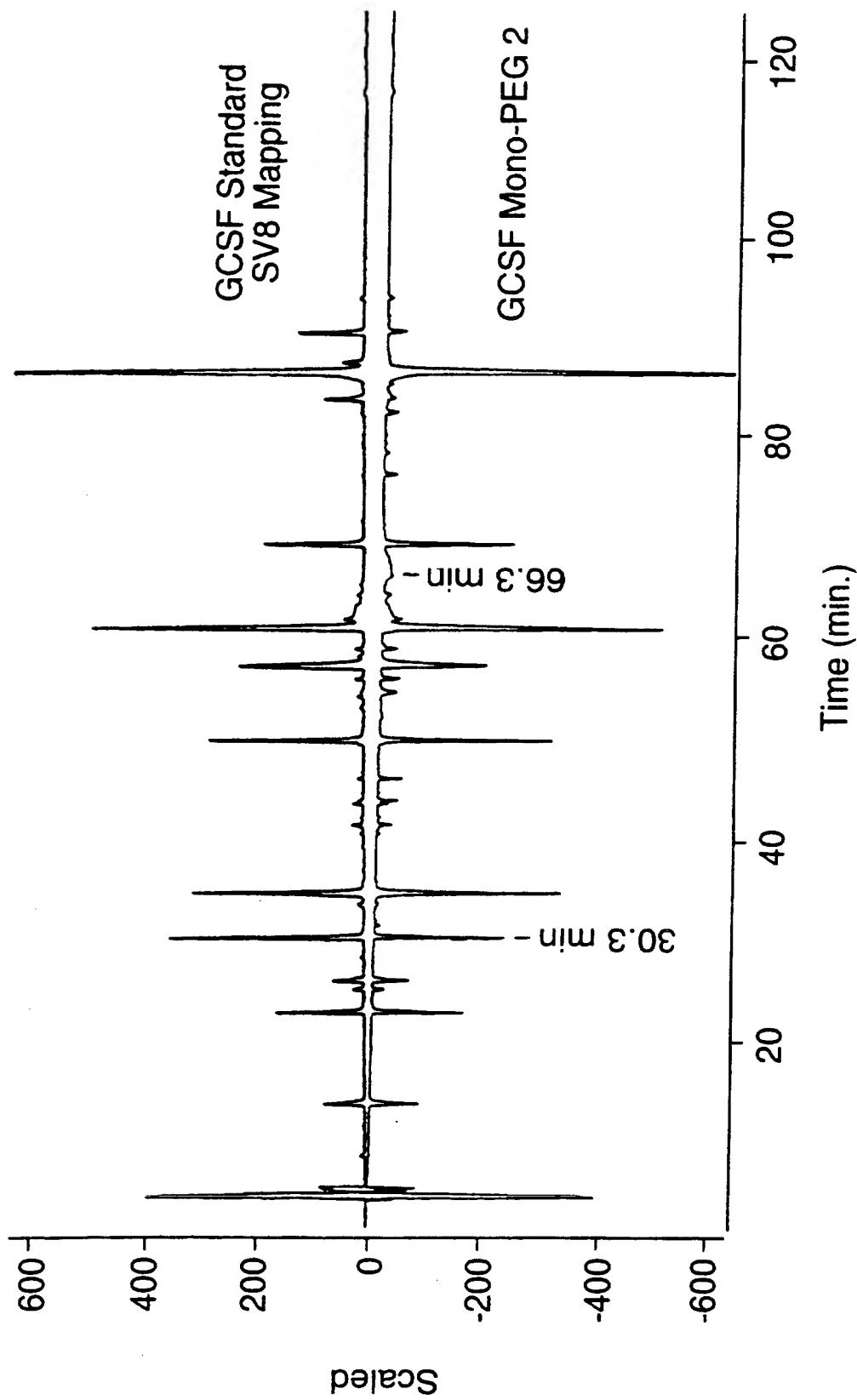


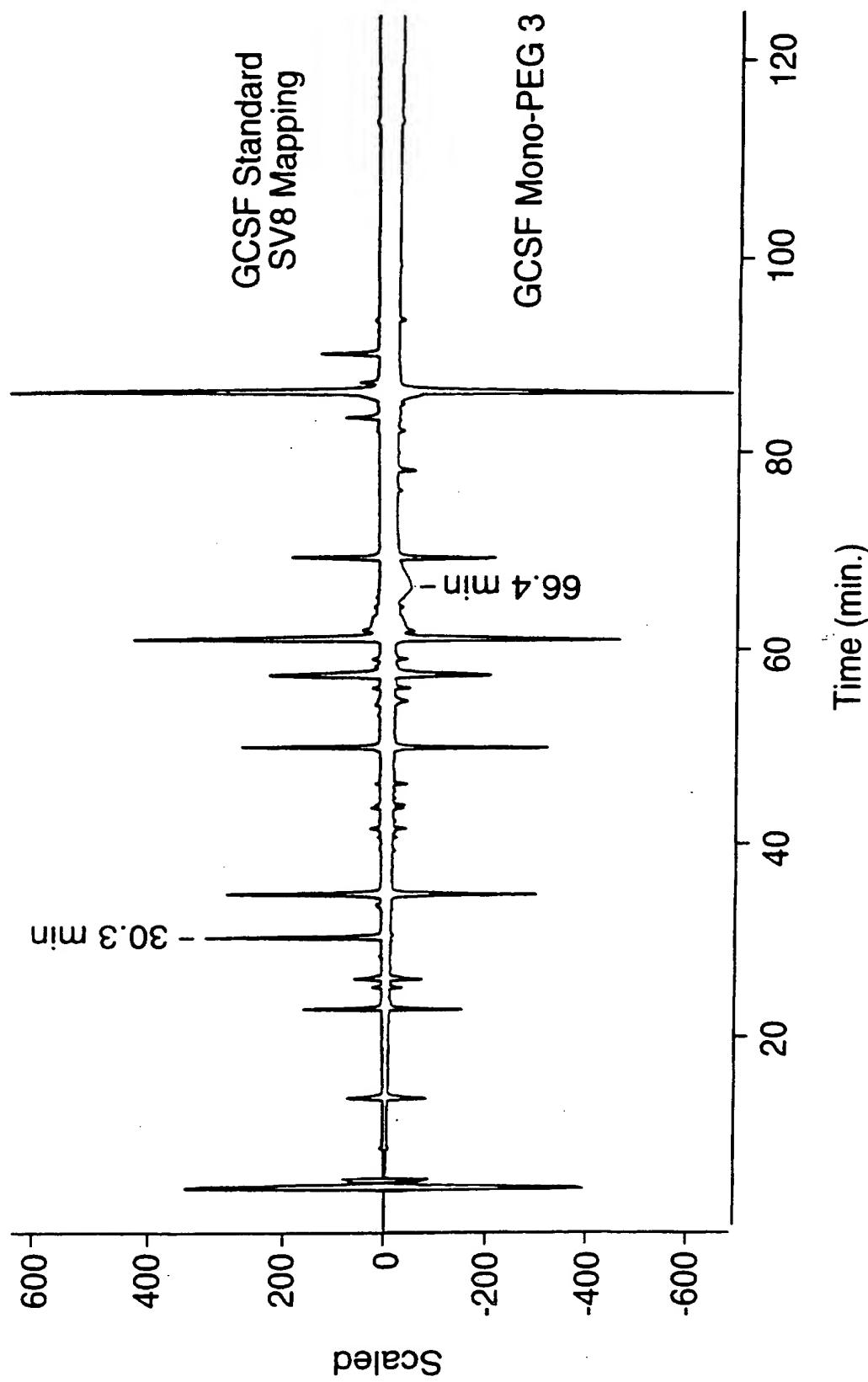
Fig. 3A

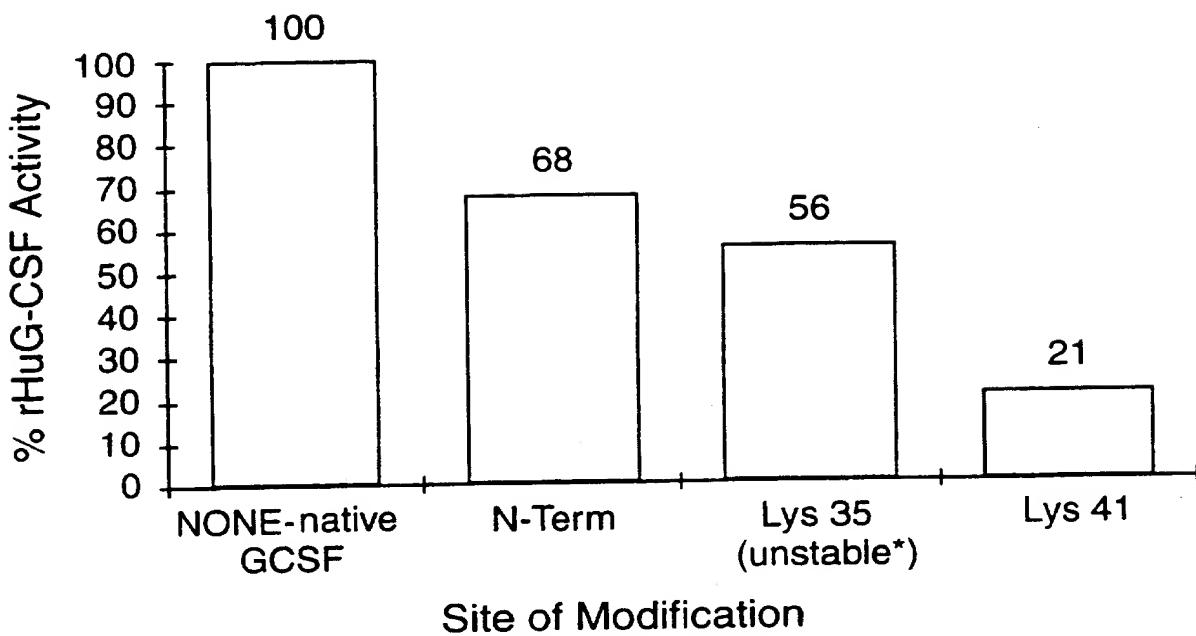
Fig. 3B



6/15

Fig. 3C

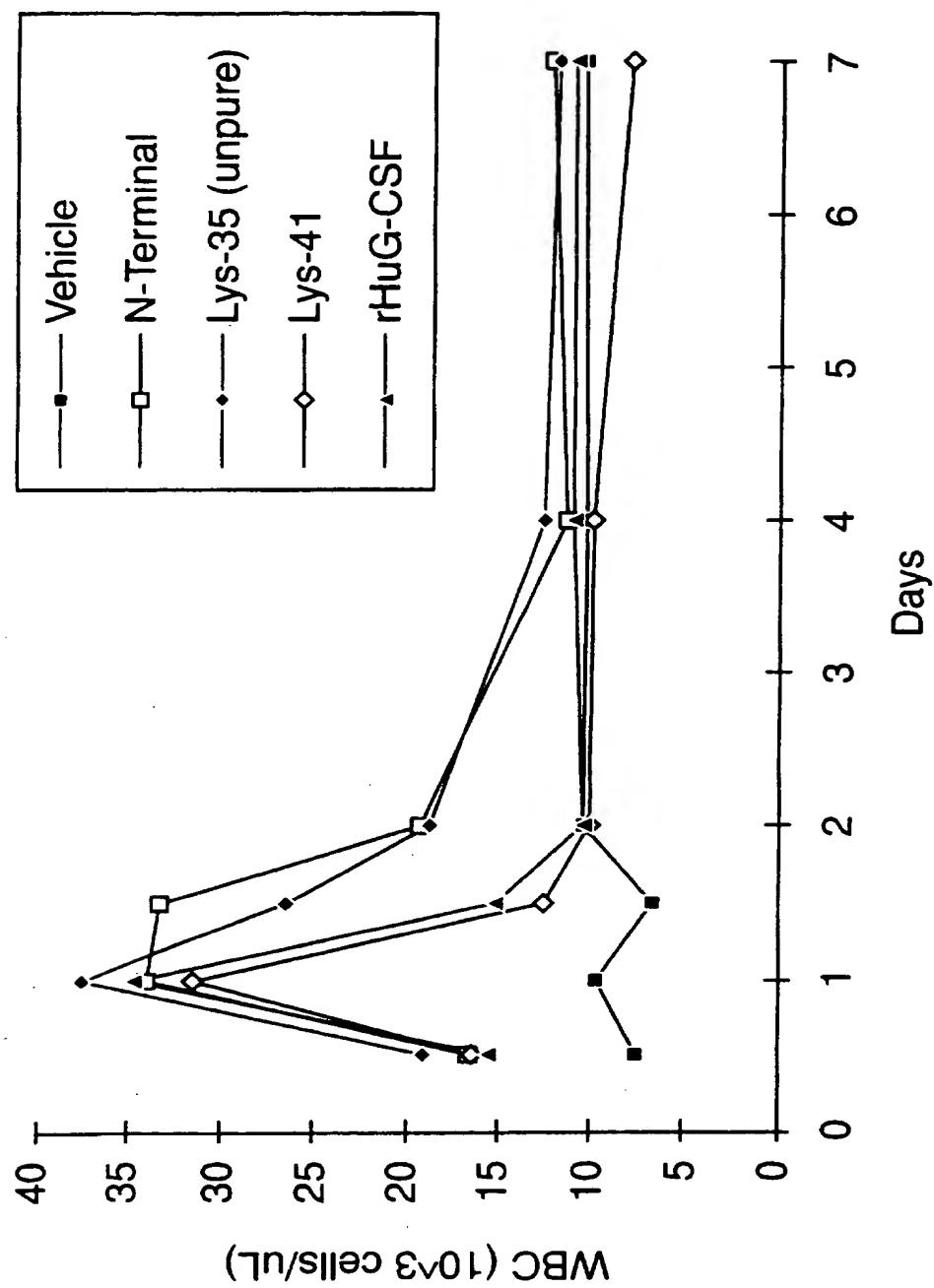


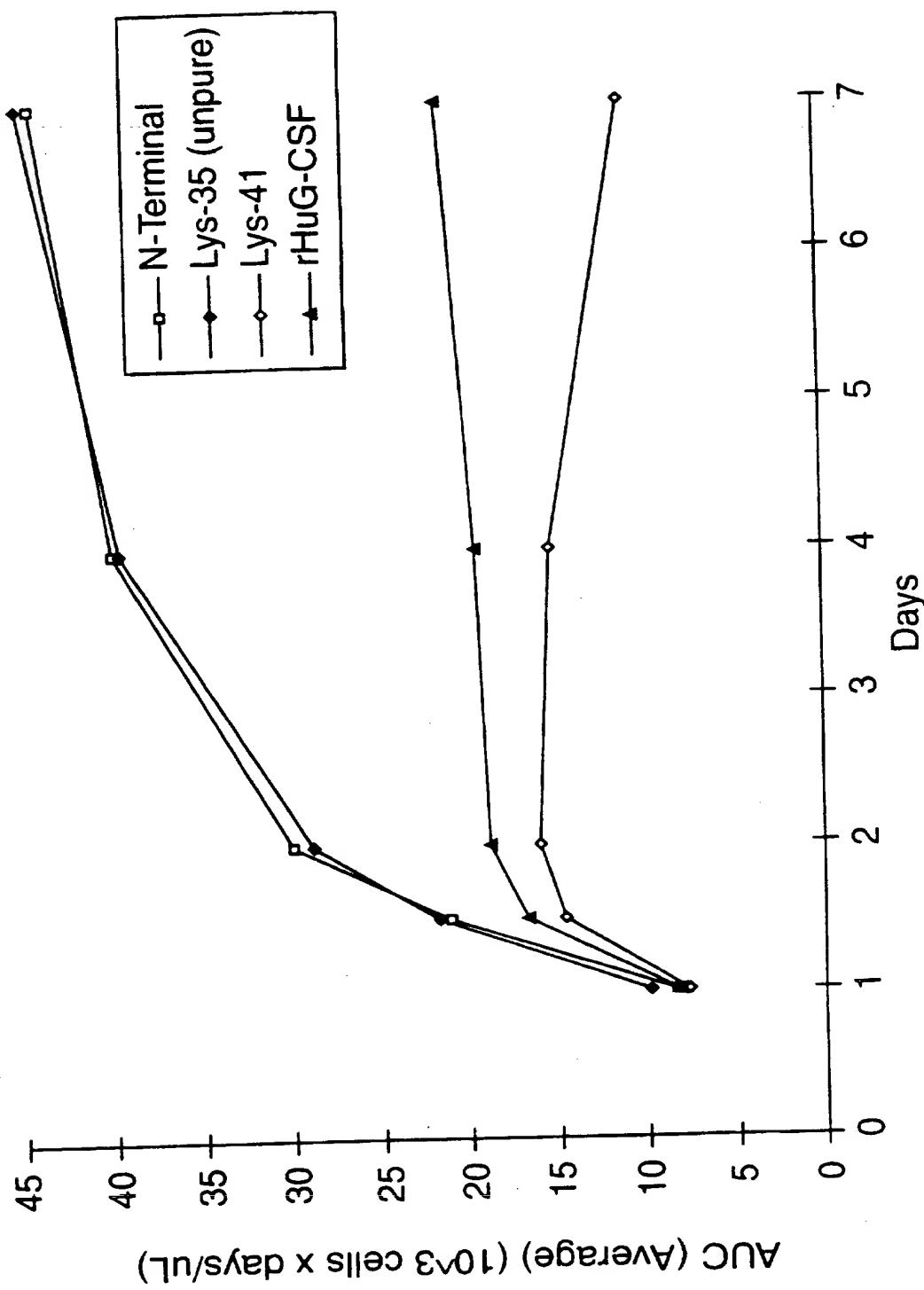
**Fig. 4**

\* contains de-Pegylated rHuG-CSF, generated during storage.

8/15

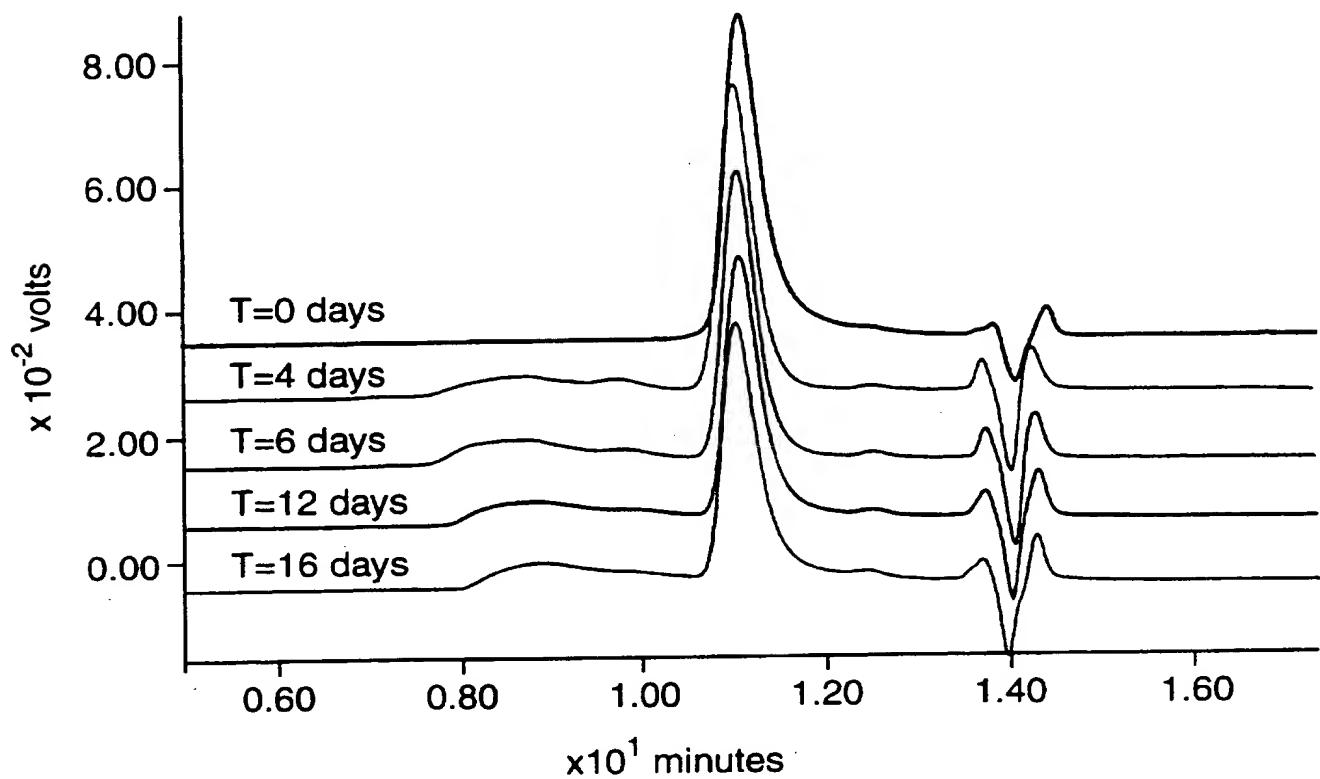
Fig. 5A



**Fig. 5B**

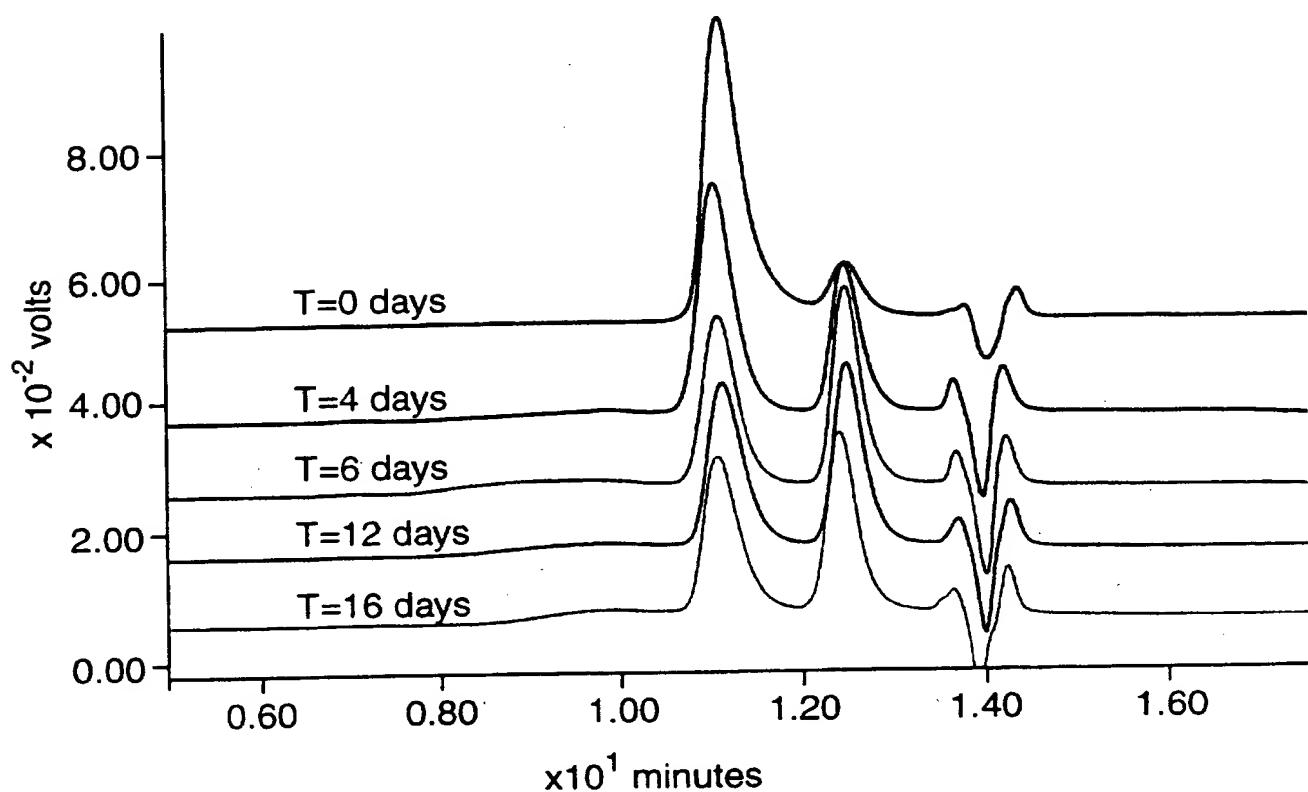
**Fig. 6A**

N-term monopegylated G-CSF, pH 6



**Fig. 6B**

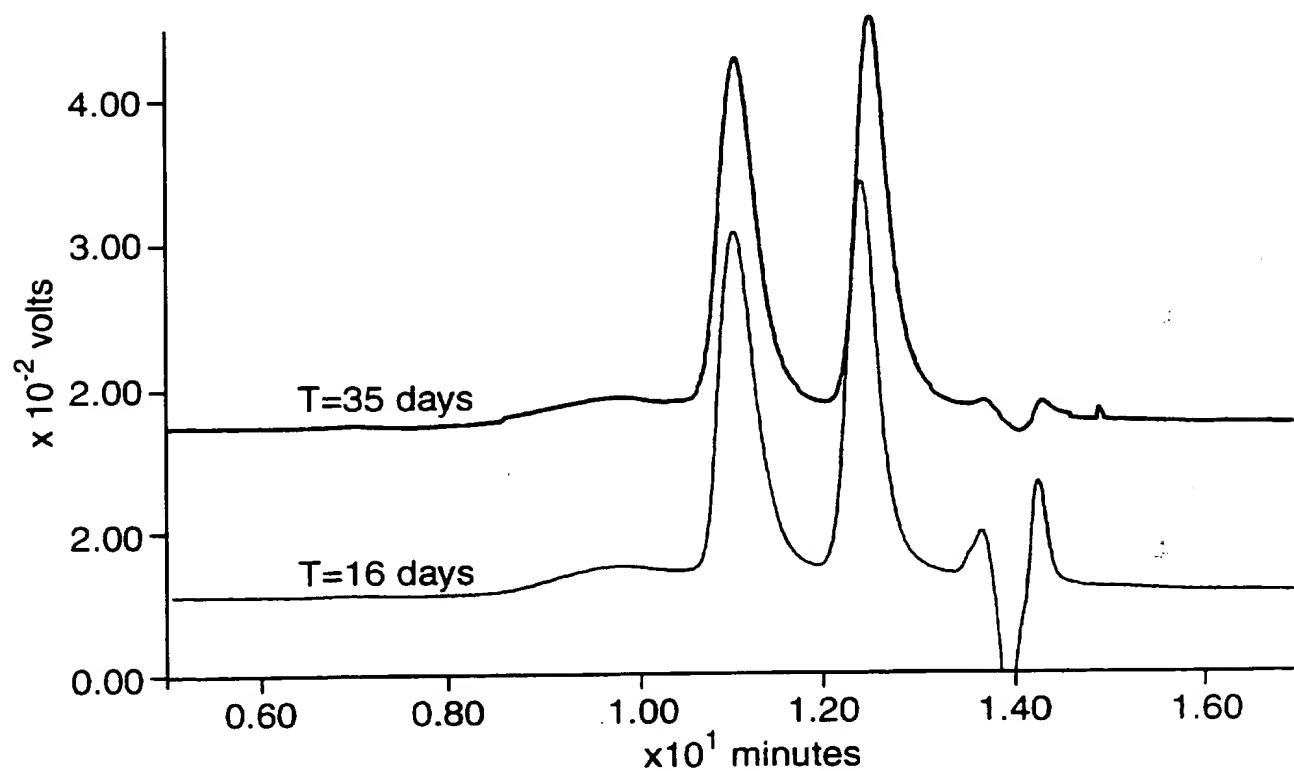
Lys 35 monopegylated G-CSF, pH 6



12/15

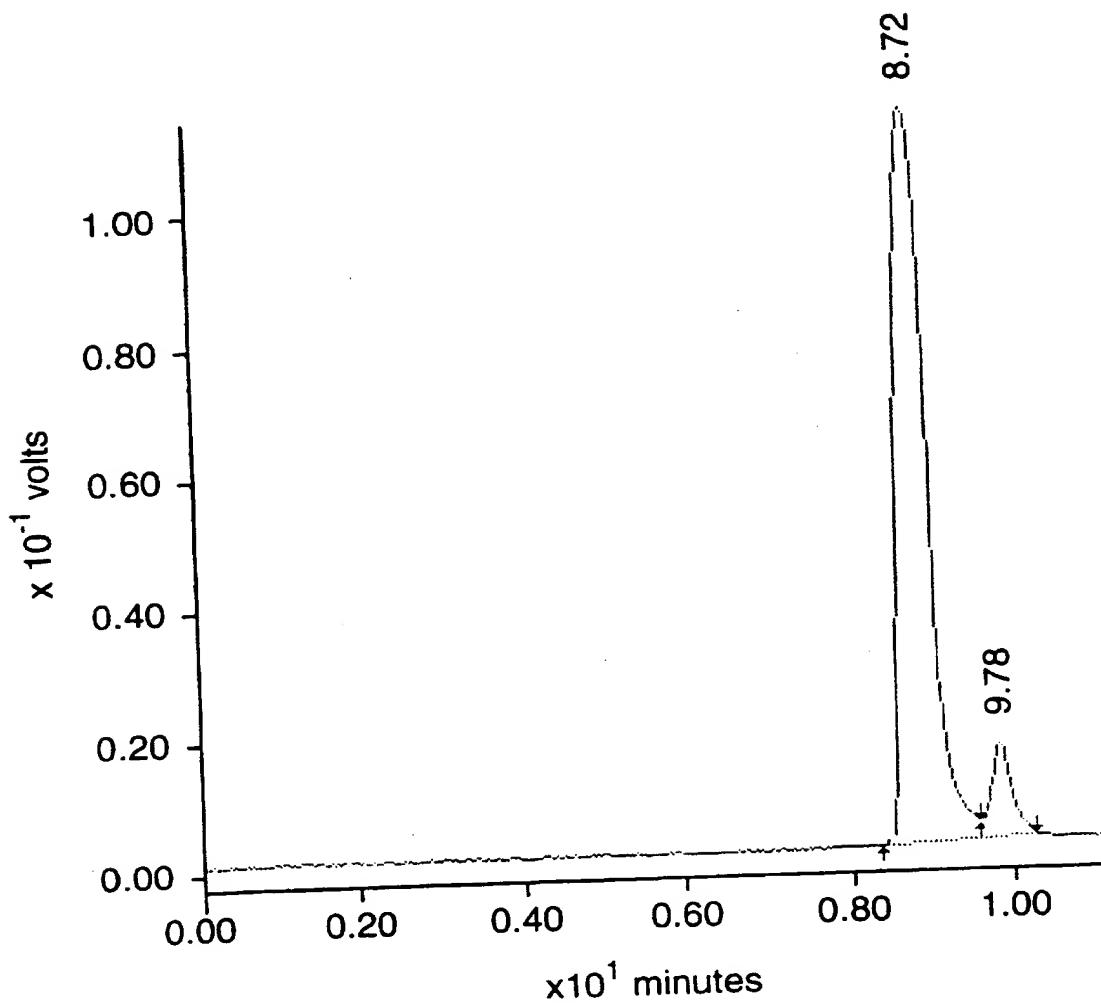
**Fig. 6C**

Lys 35 monopegylated G-CSF, pH 7



SUBSTITUTE SHEET (RULE 26)

Fig. 7



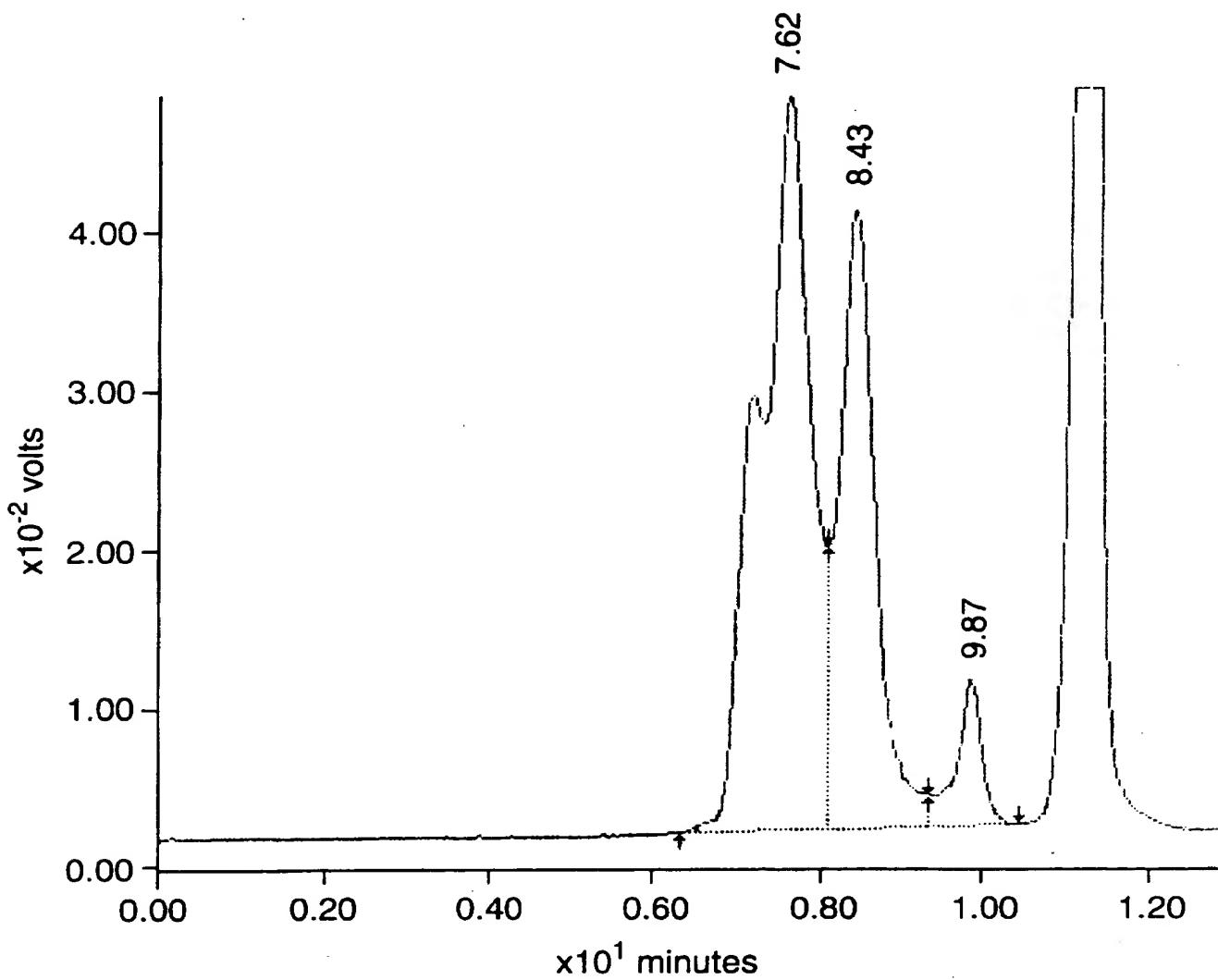
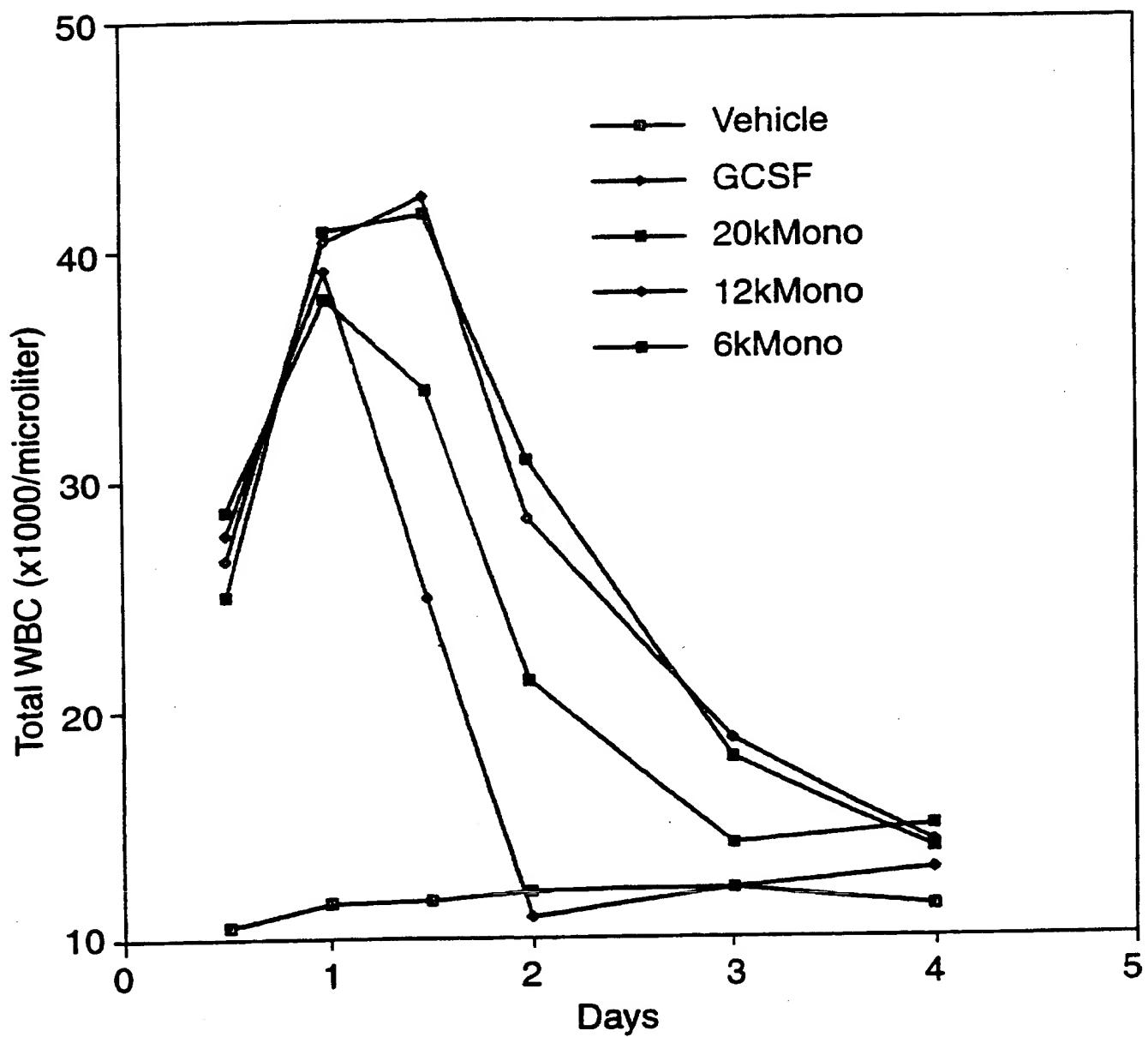
**Fig. 8**

Fig. 9



## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 95/01729

A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 6 C07K14/53 C07K14/555 C07K1/107 A61K47/48

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07K A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EP,A,0 098 110 (NIHON CHEMICAL RESEARCH KABUSHIKI KAISHA) 11 January 1984 * example 3; page 4, line 13 to page 5, line 13 * ---	31-38
Y	WO,A,90 04606 (ROYAL FREE HOSPITAL SCHOOL OF MEDICINE) 3 May 1990 * whole disclosure * ---	3-11, 15-19, 31-38
Y	WO,A,89 05824 (GENETICS INSTITUTE) 29 June 1989 * example 9; pages 1-2 * ---	3-11, 15-19

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*'A' document defining the general state of the art which is not considered to be of particular relevance
- \*'E' earlier document but published on or after the international filing date
- \*'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*'O' document referring to an oral disclosure, use, exhibition or other means
- \*'P' document published prior to the international filing date but later than the priority date claimed

- \*'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- \*'&' document member of the same patent family

Date of the actual completion of the international search

28 June 1995

Date of mailing of the international search report

08.11.95

## Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+ 31-70) 340-3016

Authorized officer

HERMANN R.

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 95/01729

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	FOCUS ON GROWTH FACTORS, vol.3, pages 4 - 10 FRANCIS, G.E. 'Protein modification and fusion proteins' cited in the application * whole disclosure * ---	3-11, 15-19, 31-38
Y	BIOCONJUGATE CHEM., vol.5, pages 133 - 140 CHAMOW, S.M. ET AL. 'Modification of CD4 immunoadhesin with monomethoxypoly- (ethyleneglycol) aldehyde via reductive alkylation' * table 1; figure 2; discussion * ---	17, 33
Y	L. STRYER 'Biochemistry (2nd edition)', FREEMAN & CO., SAN FRANCISCO * page 80, table 4-1 * ---	17, 33
A	'Biochemica Katalog 1994, page 362', BOEHRINGER MANNHEIM, MANNHEIM (GER) -----	

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No  
PCT/US 95/01729

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP-A-0098110	11-01-84	JP-A-	58225025	27-12-83
		JP-C-	1784880	31-08-93
		JP-B-	4063053	08-10-92
		JP-A-	59059629	05-04-84
		US-A-	4609546	02-09-86
-----				
WO-A-9004606	03-05-90	EP-A-	0439508	07-08-91
		JP-T-	4501260	05-03-92
		US-A-	5349052	20-09-94
-----				
WO-A-8905824	29-06-89	US-A-	4904584	27-02-90
		AU-B-	2911189	19-07-89
		EP-A-	0355142	28-02-90
-----				

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US95/01729

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

- see additional sheet ISA/210

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

3-10, 15-19, 31-38: 11 partially

**Remark on Protest**

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/**

- claims 3-10, 15-19, 31-38; 11 partially

Method for N-terminal PEGylation; monoPEGylated G-CSF or conINF, and claims relating to said compounds

- claims 1, 2, 12-14, 28-30; 11 partially

Method for N-terminal modification (other than PEGylation); N-terminally modified G-CSF or conINF, and claims relating to said compounds

- claims 20-27; 11 partially

Unspecifically PEGylated conINF, and claims relating to said compound

-----

THIS PAGE BLANK (USPTO)